Accreditation Manual

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POLICY #1
CODE OF CONDUCT AND CONFLICT OF INTEREST

To ensure that all matters dealing with the accreditation of programs by the Accreditation Commission for Education in Nursing (ACEN) are conducted with integrity, fairness, impartiality, and objectivity, the ACEN has adopted this policy addressing conflict of interest, conduct, and confidentiality.

CONFLICT OF INTEREST FOR PEER EVALUATORS

In all circumstances, not only conflicts of interest, but also the appearance of conflicts of interest, must be avoided in all circumstances. All program evaluators, Evaluation Review Panel members, Commissioners, Appeal Panel members, and any other individuals who act on behalf of the ACEN shall not have direct involvement with and/or participate in any decision-making capacity for a nursing program if they have an actual or potential conflict of interest with the program. Actual or potential conflicts of interest may include, but are not limited to, the following:

1. Maintaining employment with or serving as a graduate advisee/advisor involving the governing organization that is under review;

2. Being a current student, former student, or graduate of the governing organization that is under review;

3. Maintaining employment in the same state as the nursing education unit that is under review;

4. Having served as a program evaluator in the past five (5) years on any ACEN accreditation matter involving the nursing program that is under review;

5. Having served as a consultant in the past five (5) years on any accreditation matters involving the governing organization, nursing education unit, or nursing program that is under review;

6. Having jointly authored research or literature, participated in a common consortium, or been involved in special research with current nursing program faculty of the program that is under review;

7. Having served in an evaluation role in the past five (5) years for an agency other than the ACEN regarding the same governing organization, nursing education unit, or nursing program that is under review, including but not limited to membership on the state regulatory agency for nursing site visit teams, regional accreditation teams, or evaluation committees for boards of trustees or regents;

8. Having been paid or otherwise profited or appeared to profit from service in the past five (5) years to the nursing education unit or nursing program that is under review;

9. Having affiliations or close personal or professional relationships in the past five (5) years with key personnel in the governing organization, nursing education unit, or nursing program that is under review;
10. Having immediate family members who are current employees, board members, or students enrolled at the governing organization that is under review;

11. Having primary employer that is a non-ACEN accredited nursing program.

12. Having a current financial interest in the governing organization that is under review, including but not limited to ownership of shares of stock in the governing organization or any parent of the governing organization, excepting shares or interests held indirectly, such as in mutual funds, insurance policies, or blind trusts. In addition, having any immediate family members with any of the above financial interests; and

13. Having any other relationship or reason that could serve as an impediment to rendering an impartial, objective professional judgment regarding the nursing program that is under review.

**CONDUCT AND ETHICAL GUIDELINES FOR NURSING PROGRAMS AND PEER EVALUATORS**

**Responsibilities of Nursing Programs Seeking Accreditation**

1. It is the responsibility of each nursing program to facilitate a thorough and objective appraisal of its nursing program.

2. Nursing programs may veto a program evaluator if it can be demonstrated, in writing, that an actual or a potential conflict of interest exists.

3. Any perceived inadequacies of the ACEN procedures or processes should be reported by the nurse administrator of the nursing program to the ACEN Chief Executive Officer at the time of the occurrence rather than withheld until action has been taken.

**Responsibilities of Peer Evaluators**

1. Any Commissioner or member of the Evaluation Review Panel who was a member of a site visit team for a nursing program under consideration or resides in or is currently employed in the same state as the program under consideration must recuse her/himself from the Evaluation Review Panel or Commission discussion about the program and abstain from voting.

2. When the nursing program of an Evaluation Review Panel is being considered for accreditation or appeal, the individual may not serve on the Evaluation Review Panel during that accreditation cycle. When the nursing program with which a Commissioner is employed is being considered for accreditation or appeal, the Commissioner will recuse her/himself from the portion of the Commission meeting agenda concerned with the evaluation of that program and abstain from voting.

3. Commissioners, Evaluation Review Panel members, and program evaluators will be reminded of the confidentiality of all information pertaining to the review of applications and the need to avoid any actions that might give the appearance of a conflict of interest or could reasonably be perceived as affecting their objectivity. Commissioners, Evaluation Review Panel members, and program evaluators are required to refrain from accepting membership on a team, recuse themselves from the discussion during the review of any
application if their presence would constitute or appear to constitute a conflict of interest, and abstain from voting if a conflict of interest is identified.

4. To avoid the appearance of a conflict of interest, serving as a site visitor for another specialized accrediting agency shall preclude serving as a Commissioner, Evaluation Review Panel member, or program evaluator for the ACEN. An Evaluation Review Panel member or program evaluator who has served in a similar capacity for another specialized accrediting agency may be eligible to serve as an ACEN Evaluation Review Panel member or program evaluator after a period of one (1) year has elapsed since the last review activity for the other organization.

**Actions to be Avoided by Peer Evaluators**

1. Advertising of one's status as a program evaluator, Evaluation Review Panel member, Commissioner, or Appeal Panel member for the purpose of consulting;

2. Soliciting consultation arrangements with programs preparing for accreditation review;

3. Giving advice to a nursing program or consulting for a nursing program for a period of two (2) years after serving as a peer evaluator on any ACEN accreditation matter; and

4. Offering definitive answers related to ACEN policies and procedures or Accreditation Standards and Criteria.

**CONFLICT OF INTEREST, CONDUCT, AND ETHICAL GUIDELINES FOR ACEN STAFF**

In all circumstances, not only conflicts of interest, but also the appearance of conflicts of interest, must be avoided in all circumstances. No staff member shall have direct involvement with a nursing program if they have an actual or potential conflict of interest with the program.

Staff members should inform the ACEN Chief Executive Officer where an actual or potential conflict of interest exists. A conflict of interest exists if a staff member:

1. Was a compensated consultant, appointee, employee of, or candidate for employment at the governing organization, nursing education unit, or nursing program within the past five (5) years;

2. Is a graduate of the governing organization;

3. Has a close personal or familial relationship with persons at the governing organization;

4. Has a strong bias regarding the governing organization, nursing education unit, or nursing program;

5. Has any other relationship or reason that could serve as an impediment to acting in an impartial, objective professional manner toward the governing organization, nursing education unit, or nursing program; or

6. Has a current financial interest in the governing organization under review, including but not limited to ownership of shares of stock in the governing organization or any parent of the governing organization, excepting shares or interests held indirectly, such as in
mutual funds, insurance policies, or blind trusts, or has any immediate family members with any of the aforementioned financial interests

In addition, staff members are prohibited from accepting fees, awards, or honorary degrees from a governing organization with a nursing program that is accredited by the ACEN.

CONFIDENTIALITY AND COMMUNICATIONS FOR PEER EVALUATORS AND ACEN STAFF

To ensure that all matters dealing with the accreditation of nursing programs are conducted with integrity, fairness, impartiality, and objectivity, the ACEN has adopted this confidentiality policy. Individuals who participate in ACEN activities, including but not limited to program evaluators, Evaluation Review Panel members, Commissioners, Appeal Panel members, and ACEN staff members, must maintain confidentiality with regards to all non-public information related to the accreditation review and consideration of a nursing program by the ACEN. Accordingly, program evaluators, Evaluation Review Panel members, Commissioners, Appeal Panel members, and ACEN staff members shall conduct themselves as follows:

14. Documents, reports, and other materials prepared by the program for ACEN action should be treated as confidential materials in the absence of specific policies that make clear the degree and extent of their exposure. The ACEN will release materials in response to a valid court order or otherwise as may be required by law.

15. All materials pertinent to the nursing program under review are considered confidential materials prepared for use by the ACEN and should not be shown to or discussed with anyone other than program evaluators, Evaluation Review Panel members, Commissioners, Appeal Panel members, and/or ACEN staff members as appropriate and when necessary.

16. The accreditation decision issued by the ACEN Board of Commissioners will be communicated to the program in writing by the ACEN Chief Executive Officer.

17. A program evaluator, Evaluation Review Panel member, Commissioner, Appeal Panel member, or ACEN staff member shall not share with a governing organization/nursing program employee or any other person of the review proceedings.

Any request by a program evaluator for additional information from the governing organization/nursing program following an accreditation visit must be directed to the ACEN professional staff. There will be no direct communication between a program evaluator, Evaluation Review Panel member, Commissioner, Appeal Panel member and the governing organization and/or nursing program under review except in preparation for an upcoming accreditation visit.

Policy #1 History
Revised November 2015
DISCLOSURE MEMORANDUM AND COMMITMENT TO COMPLY WITH ACEN CODE OF CONDUCT AND CONFLICT OF INTEREST POLICY #1

(To be signed prior to each assignment or at each meeting and signed by ACEN staff annually)

TO: Site Visitor, Evaluation Review Panel Member, Commissioner, Appeal Panel Member, and ACEN Staff

DATE: (Each ACEN activity)

I have received and read the ACEN Code of Conduct and Conflict of Interest Policy #1 and agree to comply in all respects with this Policy.

I understand my duties to avoid any actual conflict of interest or the appearance of a conflict of interest regarding any ACEN-accredited program. I understand that I shall not ever have direct involvement with and/or participate in any decision-making capacity for a nursing program if I have an actual or potential conflict of interest with the program. I further understand that I have a duty to disclose an actual or potential conflict of interest as described in Policy #1 that must be exercised as soon as the conflict becomes apparent. Accordingly, (1) I will not vote on any nursing program status in which I have an actual or potential conflict of interest with the governing organization, nursing education unit, or nursing program; (2) I will not participate in a site visit to a nursing program in which I have an actual or potential conflict of interest with the governing organization, nursing education unit, or nursing program; (3) I will recuse myself and will not participate in the discussion of, visit to, or vote on any nursing program in which I have an actual or potential conflict of interest with the governing organization, nursing education unit, or nursing program.

I also understand and agree to all of Policy #1’s provisions related to my conduct and my obligation to maintain confidentiality of all information regarding each nursing program accredited by the ACEN.

________________________________________
Signature

________________________________________
Date
POLICY #2
REPRESENTATION ON SITE VISIT TEAMS, EVALUATION REVIEW PANELS AND THE BOARD OF COMMISSIONERS

Site visit teams, Evaluation Review Panels, and the ACEN Board of Commissioners are composed of nurse educators and nursing clinicians/practitioners. Evaluation Review Panels and the ACEN Board of Commissioners are composed of nurse educators, nursing clinicians/practitioners, and members of the public.

NURSE EDUCATORS
Nurse educators work in the classroom, laboratory, and/or practice settings. They are responsible for educating nursing students at all levels of nursing practice. Nurse educators are responsible for designing, implementing, evaluating, and revising nursing education programs that lead to a certificate, diploma, associate degree, baccalaureate degree, master’s degree, and/or clinical doctorate.

Nurse educators are individuals who:

1. Currently hold a full-time faculty or administrative appointment in an ACEN-accredited program;
2. Previously held a full-time faculty or administrative appointment in an ACEN-accredited program and now hold a part-time faculty or administrative appointment in an ACEN-accredited program;
3. Previously held a full-time faculty or administrative appointment in an ACEN-accredited program and have been retired for no more than two (2) years from this position; or
4. Previously held a full-time faculty or administrative appointment in an ACEN-accredited program and subsequently held a part-time faculty or administrative appointment in an ACEN-accredited program and have been retired for no more than two (2) years from the part-time position.

Nurse educators are eligible to serve on a site visit team as a team member or team chair, the Evaluation Review Panel as a member, and the ACEN Board of Commissioners. Nurse Educators elected to the ACEN Board of Commissioners may serve as chair of an Evaluation Review Panel.

NURSING CLINICIANS/PRACTITIONERS
Nursing clinicians/practitioners are licensed nurses who currently hold a full- or part-time position in a clinical practice environment. Nurse clinicians/practitioners are responsible and accountable for organizing, planning, assigning, and overseeing care of individuals, families, groups, and communities.

Nurse clinicians/practitioners are eligible to serve on a site visit team as a team member or team chair, Evaluation Review Panel as a member, and the ACEN Board of Commissioners. Nurse clinicians/practitioners elected to the ACEN Board of Commissioners may serve as chair of an Evaluation Review Panel.
PUBLIC MEMBERS
Public members are individuals with no connection to the discipline of nursing. An individual representing the public may not be:

1. An employee, owner, or shareholder of a governing organization with any accredited or non-accredited nursing program or candidate/applicant nursing program;

2. A member of the governing board for a governing organization with any accredited or non-accredited nursing program or candidate/applicant nursing program;

3. A consultant to any accredited or non-accredited nursing program or candidate/applicant nursing program;

4. Affiliated or associated with any nursing accreditation agency or nursing organization, such as AACN, ANA, or NLN; or

5. A spouse, parent, child, or sibling of an individual identified in the above statements.

Public members are eligible to serve on the ACEN Board of Commissioners. Public members elected to the ACEN Board of Commissioners may serve as chair of an Evaluation Review Panel.

Policy #2 History
Revised November 2015

POLICY #3
ELIGIBILITY FOR ACCREDITATION

To be eligible for initial or continuing accreditation, a nursing program must demonstrate that it meets or continues to meet the following eligibility requirements of the ACEN. Once eligibility is established, the nursing program must demonstrate that it meets the ACEN Accreditation Standards.

1. The governing organization/institution offering the program:
   a. is legally authorized to provide a postsecondary education program in the state in which the institution is physically located;
   b. is legally authorized to grant the credential (degree, diploma, or certificate) to the nursing program seeking accreditation.

2. The governing organization/institution offering the nursing program and granting the credential is accredited or approved for candidacy by an appropriate agency.

If the governing organization has candidate status with an accrediting agency, then the governing organization/institution must achieve initial accreditation with the agency prior to the nursing education unit scheduling an initial accreditation visit with the ACEN.

In order to maintain eligibility for ACEN accreditation, the governing organization/institution must earn accreditation within the appropriate agency’s timeframe and, once earned, the
governing organization/institution must continuously hold accreditation/approval by its appropriate agency. An appropriate agency is determined as follows:

a. If the nursing program is administered by a college, university, or technical/vocational governing organization/institution that is part of the system of higher education and grants a diploma, certificate, associate degree, baccalaureate degree, master’s degree, or clinical doctorate in nursing, the governing organization/institution must be accredited or hold candidacy by one (1) of the following agencies:

- Accrediting Bureau of Health Education Schools*
- Accrediting Commission of Career Schools and Colleges*
- Accrediting Council for Independent Colleges and Schools*
- Council on Occupational Education*
- Distance Education Accrediting Commission*
- Middle States Commission on Higher Education*
- New England Association of Schools and Colleges Commission on Institutions of Higher Education*
- Northwest Commission on Colleges and Universities*
- Southern Association of Colleges and Schools Commission on Colleges*
- Accrediting Commission for Community and Junior Colleges, Western Association of Schools and Colleges*
- The Higher Learning Commission*
- Western Association of Schools and Colleges Senior College and University Commission*

*Recognized by USDOE to establish eligibility to participate in Title IV programs

b. If the governing organization/institution that administers the nursing program is a vocational/technical/career school or public school system/district and grants a postsecondary certificate, (1) the governing organization/institution must be approved by the appropriate state agency for education with authority over this type of governing organization/institution or (2) the governing organization/institution must be accredited or hold candidacy by one (1) of the following agencies:

- Accrediting Bureau of Health Education Schools*
- Accrediting Commission for Schools, Western Association of Schools and Colleges
- Accrediting Commission of Career Schools and Colleges*
- AdvancED
- Council on Occupational Education*
- Middle States Association Commissions on Elementary and Secondary Schools*
- New England Association of Schools and Colleges Committee on Public Secondary Schools
- New England Association of Schools and Colleges Committee on Technical and Career Institutions

*Recognized by USDOE to establish eligibility to participate in Title IV programs

c. If the nursing program is administered by a hospital and grants a diploma, certificate, associate degree, baccalaureate degree, master’s degree, or clinical doctorate in nursing, the hospital must be approved by one (1) of the following agencies:

- Accrediting Bureau of Health Education Schools*
- Accrediting Commission of Career Schools and Colleges*
d. If the nursing education unit (school/college) is independent and has state approval to grant the associate degree, baccalaureate degree, master's degree, or clinical doctorate in nursing, the school/college must be accredited or approved for candidacy by one (1) of the following agencies:

- Accrediting Bureau of Health Education Schools*
- Accrediting Commission of Career Schools and Colleges*
- Accrediting Council for Independent Colleges and Schools*
- Council on Occupational Education*
- Distance Education Accrediting Commission*
- Middle States Commission on Higher Education*
- New England Association of Schools and Colleges Commission on Institutions of Higher Education*
- Northwest Commission on Colleges and Universities*
- Southern Association of Colleges and Schools Commission on Colleges*
- Accrediting Commission for Community and Junior Colleges, Western Association of Schools and Colleges*
- The Higher Learning Commission*
- Western Association of Schools and Colleges Senior College and University Commission*

*Recognized by USDOE to establish eligibility to participate in Title IV programs

3. The nursing program must be currently approved without qualification by the state agency that has legal authority for education programs in nursing. This policy is not applicable to those programs in nursing over which a state regulatory agency that has legal authority for education programs in nursing has no jurisdiction (e.g., selected master's degree programs or programs admitting previously licensed nurses).

4. For initial accreditation of a nursing program without previous graduates, the initial accreditation site visit for the nursing program should occur while the initial cohort of students is enrolled in either (1) the final semester/quarter/term of the nursing program or (2) the semester/quarter/term prior to the final semester/quarter/term of the nursing program.

For initial accreditation of a nursing program with previous graduates, the initial accreditation site visit can occur during any semester/quarter/term of the candidacy period.

Note: Initial accreditation is effective as of the accreditation cycle in which the Board of Commissioners grants initial accreditation. **Accreditation is not retroactive for students who graduated prior to the semester/quarter/term in which the initial accreditation site visit occurred.**
5. If the nursing program is administered by a vocational/technical/career school or public school system/district and grants a postsecondary certificate (see 2b above), the governing organization/institution/nursing program may use ACEN accreditation to establish eligibility to participate in Title IV programs.

If the governing organization/institution is accredited by one (1) of the following agencies, that accrediting agency is the primary agency for establishing Title IV eligibility:

- Council on Occupational Education
- Middle States Association Commissions on Elementary and Secondary Schools
- New York State Board of Regents and the Commissioner of Education
- New York State Board of Regents, State Education Department, Office of Professions (Public Postsecondary Vocational Education, Practical Nursing)
- Oklahoma Department of Career and Technology Education
- Pennsylvania State Board for Vocational Education, Bureau of Career and Technical Education

6. If the nursing program is administered by a hospital and grants a diploma, certificate, associate degree, baccalaureate degree, master’s degree, or clinical doctorate in nursing (see 2c above), the governing organization/institution (hospital)/nursing program may use ACEN accreditation to establish eligibility to participate in Title IV programs.

If the governing organization/institution is accredited by the Accrediting Bureau of Health Education Schools (ABHES), Accrediting Commission of Career Schools and Colleges (ACCSC), or Accrediting Council for Independent Colleges and Schools (ACICS), the ABHES, ACCSC, and ACICS is the primary agency for Title IV eligibility.

7. A nursing program that offers more than one (1) option/track must be reviewed by the ACEN in its entirety. All nursing program options/tracks that lead to the same degree or certificate within a single governing organization/institution must be accredited by the ACEN for any one (1) of the options/tracks to be accredited. The nursing program may not select individual options/tracks within the same certificate or degree to be reviewed for accreditation.

Policy #3 History
Revised July 2015
POLICY #4
TYPES OF COMMISSION ACTIONS ON APPLICATIONS FOR ACCREDITATION

A nursing program is considered for initial or continuing accreditation by the ACEN Board of Commissioners when it demonstrates compliance with the Accreditation Standards.

INITIAL ACCREDITATION

**Granted**

Initial accreditation of a nursing program is granted when the program demonstrates compliance with all Accreditation Standards. Next review shall be in five (5) years.

Note: Initial accreditation is effective as of the accreditation cycle in which the Board of Commissioners grants initial accreditation. **Accreditation is not retroactive for students who graduated prior to the semester/quarter/term in which the initial accreditation site visit occurred.**

**Denied**

Initial accreditation of a nursing program is denied when a program does not demonstrate compliance with all Accreditation Standards. This is an appealable action.

The nursing program may restart the candidacy process at any time after the denial of initial accreditation.

CONTINUING ACCREDITATION

**Granted**

The maximum amount of time between continuing accreditation cycles shall be eight (8) years.

1. Continuing accreditation is granted when the nursing program is in compliance with all Accreditation Standards.

2. Continuing accreditation with conditions is granted when the nursing program is found to be in non-compliance with one (1) or two (2) Accreditation Standards. Next review and follow-up action(s) are determined by the Board of Commissioners.

3. Continuing accreditation with warning is granted when the nursing program is found to be in non-compliance with three (3) or more Accreditation Standards. Next review and follow-up action(s) are determined by the Board of Commissioners.

4. Continuing accreditation with a removal of conditions is granted when the nursing program is found to be in compliance with the Accreditation Standards.

5. Continuing accreditation with a removal of warning is granted when the nursing program is found to be in compliance with the Accreditation Standards.
6. Continuing accreditation with a removal of warning for good cause is granted when the nursing program is found to be in compliance with the Accreditation Standards.

Denied

Continuing accreditation is denied when a nursing program on conditions, warning, or warning for good cause is reviewed and found to be in continued non-compliance with any Accreditation Standard. Thereafter the nursing program is removed from the listings of accredited programs. This is an appealable action.

The nursing program may start the candidacy process at any time after the denial of continuing accreditation and removal from the list of accredited programs.

ACCREDITATION WITH CONDITIONS OR WARNING STATUS

1. A nursing program is placed on continuing accreditation with conditions when the program has been found to be in non-compliance with one (1) or two (2) Accreditation Standards.

   a. When a nursing program has been placed on continuing accreditation with conditions, the program must submit a Follow-Up Report addressing the Standard(s) with which the nursing program was found to be in non-compliance; a follow-up visit may be required within a specified period of time. The Follow-Up Report, or Follow-Up Report and Follow-Up Site Visit Report, and Evaluation Review Panel Summary will constitute the basis for Commission action. The Commission may accept the Report if the nursing program is in compliance with the identified Accreditation Standards or not accept the Report if the program remains in non-compliance. Nursing programs demonstrating compliance will be granted continuing accreditation. Nursing programs not in compliance with the identified Accreditation Standards will be (1) placed on continuing accreditation with warning for good cause, or (2) denied continuing accreditation and the nursing program is removed from the listings of accredited programs.

2. A nursing program is placed on continuing accreditation with warning when the program has been found to be in non-compliance with three (3) or more Accreditation Standards.

   a. When a nursing program has been placed on continuing accreditation with warning, the program must submit a Follow-Up Report addressing the Standard(s) with which the nursing program was found to be in non-compliance, and a follow-up visit is required within a specified period of time. The Follow-Up Report, Follow-Up Site Visit Report, and Evaluation Review Panel Summary will constitute the basis for the Commission action. The Commission may accept the Report if the nursing program is in compliance with the identified Accreditation Standards or not accept the Report if the program remains in non-compliance. Nursing programs in compliance will be granted continuing accreditation. Nursing programs not in compliance with the identified Accreditation Standards will be (1) continued on continuing accreditation with warning for good cause, or (2) denied continuing accreditation and the nursing program is removed from the lists of accredited programs.

3. The maximum monitoring period for continuing accreditation with conditions or continuing accreditation with warning for clinical doctorate, master’s, baccalaureate, associate, and diploma programs is two (2) years from the Commission’s determination of this accreditation status.
4. The maximum monitoring period for continuing accreditation with conditions or continuing accreditation with warning for practical nursing programs is 18 months from the Commission’s determination of this accreditation status.

5. If a nursing program has not remedied deficiencies at the conclusion of its maximum monitoring period, the Commission must (1) deny continuing accreditation and remove the nursing program from the list of accredited programs, or (2) grant continuing accreditation for good cause. If accreditation is extended for good cause, the nursing program must be granted continuing accreditation with warning for good cause.

   a. The maximum monitoring period for continuing accreditation with warning for good cause for clinical doctorate, master’s, baccalaureate, associate, and diploma programs is two (2) years from the Commission’s determination of this accreditation status. If compliance with all the accreditation standards is not demonstrated within two (2) years, the Commission must deny continuing accreditation and remove the nursing program from the list of accredited programs.

   b. The maximum monitoring period for continuing accreditation with warning for good cause for practical nursing programs is 18 months from the Commission’s determination of this accreditation status. If compliance with all the Accreditation Standards is not demonstrated within 18 months, the Commission must deny continuing accreditation and remove the nursing program from the list of accredited programs.

6. A nursing program’s accreditation can be extended for good cause if the program satisfies all of the following principles:

   a. The nursing program has demonstrated significant recent accomplishments in addressing non-compliance;

   b. The nursing program has documented that it has the potential to remedy all deficiencies within the extended period as defined by the Commission; that is, that the program provides evidence which makes it reasonable for the Commission to determine it will remedy all deficiencies within the extended time defined by the Commission;

   c. The nursing program provides assurance to the Commission that it is not aware of any other reasons, other than those identified by the Commission, why the nursing program could not be continued for good cause.

7. The nursing program has the responsibility for making its case for good cause. To demonstrate good cause, the chief executive officer of the governing organization and the nurse administrator of the nursing program must submit a report attesting that the program satisfies all three (3) principles for good cause. The report must be received by the ACEN Chief Executive Officer no later than 14 calendar days before the Board of Commissioners meeting. Along with the good cause report, the nursing program may submit selected new, updated information and evidence (e.g., faculty profile table, verification of faculty credentials, and performance on licensure examination) that demonstrate the program has made significant recent accomplishments in addressing the Standard(s) found to be in non-compliance.

Policy #4 History
Revised July 2015
POLICY #5
NOTIFICATION OF COMMISSION DECISIONS

A nursing program accredited by ACEN or seeking ACEN initial accreditation explicitly agrees that if accreditation is granted, all records pertaining to that program may be made available to the Secretary of Education, U.S. Department of Education, and the state regulatory agency for nursing as appropriate.

The Commission will submit to the Secretary of Education information regarding a program’s compliance with federal student aid program requirements if the Secretary of Education requests such information, or the Commission believes that the program is failing to meet its Title IV responsibilities or is involved in fraud and abuse with respect to its activities. Prior to submission of information, the program will be provided an opportunity to comment on Commission findings.

Within 60 days of a final adverse action, the Commission will make available to the Secretary of Education, appropriate state regulatory agencies, recognized accrediting agencies, and the public, a brief statement summarizing the reasons for the adverse action determination and the comments, if any, made by the program with regard to the Commission decision.

Within 30 days of the accreditation decisions made at the Commission meetings, the ACEN staff will send written notification of the Commission action to the nurse administrator, the chief executive officer of the governing organization, the site visit team members, and the Secretary of Education, U.S. Department of Education. The letter to the nurse administrator and chief executive officer will also include any strengths and areas needing development identified by the Commission and a copy of the ERP Summary of Deliberations.

OTHER GROUPS TO BE INFORMED

- State Regulatory Agencies for Nursing
- U.S. Department of Education Case Management Teams
- Accrediting Agencies of Governing Organizations
- State Departments of Education (as applicable)
- Council for Higher Education Accreditation (CHEA)
- Higher Education Publications, Inc.
- The Public

INFORMATION PROVIDED TO THE SECRETARY OF EDUCATION, U.S. DEPARTMENT OF EDUCATION

The following information will be reported to the Secretary of Education at the same time it is reported to the nursing education unit.
<table>
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<tr>
<th>Report Category</th>
<th>Time for Submission</th>
<th>Programs Affected By Requirements</th>
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<td>• Initial Accreditation</td>
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<td>• Withdrawal</td>
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<td>Summary of Major Accreditation Activities</td>
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<td>Directory of Accredited Programs</td>
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<td>Substantive Changes</td>
<td>Within 30 days of Commission action</td>
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<td>• Title IV Participants</td>
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<td>Complaints</td>
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<td>• Against Accredited Programs</td>
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<td>• Against the ACEN</td>
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<td>Title IV Participant Compliance</td>
<td>Within 30 days of Commission action</td>
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<td>• Comprehensive Loan Repayment Plan</td>
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<td>• Adverse Financial or Compliance Audits</td>
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<td>• Fraud or Abuse</td>
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<td>Proposed Changes that alter the scope of recognition or compliance with requirements</td>
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<td>• Standards and Criteria</td>
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C: Clinical Doctorate, M: Master’s, B: Baccalaureate, A: Associate, D: Diploma, P: Practical

Policy #5 History
Revised July 2015
POLICY #6
DELAY/ADVANCEMENT OF CONTINUING ACCREDITATION VISIT

The nurse administrator may formally request a delay or rescheduling of a visit for continuing accreditation. The ACEN Chief Executive Officer makes the decision to grant or deny the request based on the reason(s) provided. The timeframe considered for a visit delay is six (6) months/one (1) accreditation cycle. Delays are granted only when circumstances beyond the control of the nursing program occur; typically these involve a major disruption (e.g., natural disaster, catastrophic fire) that interrupts the education of currently enrolled students. A change in the nurse administrator or implementation of a substantive change are not considered beyond the control of the nursing program.

Delays are not granted to nursing programs:

- Granted delays in the most recent accreditation cycle.
- With a current status of continuing accreditation with warning or continuing accreditation with warning for good cause.
- Having outstanding Follow-Up Reports requested by the Commission.¹
- Under review or with conditional/provisional/probationary approval status by the state regulatory agency for nursing.
- Due for review following the granting of initial accreditation by the Commission.

¹If a nursing program does not submit the Follow-Up Report by the date requested, the program will be presented for action to the Commission at the next Board of Commissioners meeting.

If the request for a delay is made six (6) weeks or less prior to the site visit, the reprocessing/rescheduling site visit fee is applicable.

A nursing program that is granted a delayed accreditation visit will be returned to its original visit schedule for the next accreditation visit.

Policy #6 History
Revised July 2015

POLICY #7
WITHDRAWAL

VOLUNTARY WITHDRAWAL FROM ACEN ACCREDITATION

Accredited programs voluntarily withdrawing from ACEN accreditation must submit written notification of the decision signed by the chief executive officer of the governing organization and the nurse administrator of the nursing program to the ACEN Chief Executive Officer. When a nursing program voluntarily withdraws from the ACEN, the program's accreditation will continue through the end of its accreditation cycle unless the program selects a date prior to June 30 or December 31; the program must specify the accreditation withdrawal date in its written
notification to the ACEN. On the date specified by the nursing program, the program will be removed from the ACEN's listings of accredited programs and the files purged. The nursing program must remove all references to ACEN accreditation intended to inform the public, including all print and electronic documents.

The nursing program may initiate the candidacy process for initial accreditation at any time after voluntarily withdrawing and being removed from the list of accredited programs.

1 Fall Cycle: July 1 to December 31
   Spring Cycle: January 1 to June 30

ACEN WITHDRAWAL OF ACCREDITATION

ACEN accreditation may be withdrawn if a nursing program refuses an accreditation site visit, follow-up visit, or focused visit. Accreditation may also be withdrawn if the nursing program fails to submit the Self-Study Report or Follow-Up Report as specified by the Commission. The nursing program will be removed from the list of accredited programs and the files purged.

The ACEN reserves the right to withdraw recognition of accreditation of any nursing program that, after due notice, fails to meet its financial obligations. Such withdrawal of recognition will be recorded as expiration of accreditation in the ACEN official files. Payment of annual accreditation and service fees to the ACEN is an obligation for recognition of accreditation status.

Policy #7 History
Revised July 2015

POLICY #8
OPPORTUNITIES FOR THIRD-PARTY COMMENTS ON NURSING PROGRAMS SCHEDULED FOR INITIAL OR CONTINUING ACCREDITATION

As part of ongoing efforts to make the accreditation process responsive to a broad range of constituents, the ACEN invites third-party comments on nursing programs being reviewed for initial or continuing accreditation. The ACEN welcomes comments from interested individuals from the nursing community, students, and graduates as well as the public.

The Commission expects a sincere and thoughtful attempt by nursing programs undergoing initial or continuing accreditation to identify their constituents and invite comments on the program. The ACEN requires nursing programs to publish basic information about the visit in appropriate outlets (e.g., nursing program newsletter, governing organization publications, program website, local newspapers, local radio stations, and postings at clinical agencies used by the program). Guidelines for the solicitation of third-party comments will be provided to the nursing program.

During the accreditation site visit, a time is to be set aside for the peer evaluators on the site visit team to meet with interested members of the public. In addition, the constituents may submit comments in writing to the ACEN to be shared with the peer evaluators.

Policy #8 History
Revised November 2015
POLICY #9
DISCLOSURE OF INFORMATION ABOUT THE PROGRAM

When a governing organization and/or nursing education unit makes a disclosure regarding the ACEN accreditation status of a nursing program, it must (1) accurately cite each program (e.g., clinical doctorate, master’s, baccalaureate, associate, diploma, and/or practical) and (2) accurately identify each nursing program’s accreditation status with ACEN. “Fully accredited” must not be used as partial accreditation is not possible.

The disclosure must include the name, address, and telephone number of the ACEN.

If the governing organization and/or nursing education unit publishes incorrect or misleading information about the accreditation status of a nursing program or any action by the ACEN relative to the accreditation status of a nursing program, the governing organization and/or nursing education unit must immediately provide public correction via a news release or through other media.

If a governing organization and/or nursing education unit makes public the contents from a Site Visit Report, Follow-Up Site Visit Report, Focused Site Visit Report, Summary of Deliberations of the Evaluation Review Panel, or Commission decision letter, it must provide full sentences and context. Characterizing, quoting, and/or providing excerpts from a Site Visit Report, Follow-Up Site Visit Report, Focused Site Visit Report, Summary of Deliberations of the Evaluation Review Panel, or Commission decision letter must also be accompanied by a note stating that a copy of the complete document(s) can be obtained from the nursing education unit. Should the statements be misinterpreted, the program must correct this misinterpretation through a clarifying release to the same audience that received the information. When it is determined that a governing organization and/or nursing education unit is in violation of this policy, the ACEN Chief Executive Officer will inform the governing organization and/or nursing education unit through a formal letter. If the violation is not corrected, the CEO shall report the matter to the ACEN Board of Commissioners for appropriate action.

Policy #9 History
Revised November 2015
POLICY #10
APPEAL PROCESS AND SUBMISSION AND REVIEW OF NEW FINANCIAL INFORMATION SUBSEQUENT TO ADVERSE ACTION

The purpose of this policy is to provide the opportunity for review of an adverse action. The appeal process allows a program to appeal an adverse action taken by the Board of Commissioners.

DECISIONS ELIGIBLE FOR APPEAL

A program may only appeal an adverse action taken by the ACEN Board of Commissioners. An adverse action is defined as a denial of initial accreditation or a denial of continuing accreditation.

GROUNDS FOR APPEAL

1. There are two (2) grounds for appeal:

   a. The ACEN Board of Commissioners’ decision was arbitrary; that is, it was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or the ACEN published policies and/or procedures; or

   b. The ACEN Board of Commissioners failed to follow its published policies and/or procedures and this failure was significant in leading to the Board of Commissioners’ decision.

SUBMISSION AND REVIEW OF NEW FINANCIAL INFORMATION SUBSEQUENT TO ADVERSE ACTION

If an adverse action is taken by the ACEN Board of Commissioners based solely on financial grounds, including Commission action to deny initial accreditation or to deny continuing accreditation, a nursing program may submit and seek review of new financial information prior to the action becoming final if the following conditions are met:

1. The financial information was unavailable to the program until after the adverse action was taken; and

2. The financial information is significant and bears materially on the financial deficiencies identified in support of the adverse action.

A nursing program may seek the review of new financial information only once. A nursing program may seek review of new financial information prior to appealing the adverse action. In such case, any determination by the Commission made with respect to the review of new financial information shall not provide a basis for an appeal. Alternatively, a nursing program may submit and seek review of new financial information as part of the appeal of the adverse action consistent with this policy and the procedures set forth below.
NOTICE OF APPEAL

An adverse action taken regarding the accreditation status of a nursing program may be appealed within 30 calendar days of the program’s receipt of notice of such adverse action. A program shall initiate an appeal by filing a written notice of intent to appeal via hand delivery, certified/registered mail, or another means that provides written evidence of the delivery. The notice of appeal shall be sent by the Chief Executive Officer of the appellant program’s governing organization to the ACEN Chief Executive Officer. Upon receipt of the notice, the ACEN shall maintain the prior accreditation status of the nursing program until the disposition of the appeal.

The request of a program for an appeal process must identify the specific alleged procedural failures or the specific manner in which the decision was arbitrary, that is, was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or ACEN published policies and/or procedures.

The appeal process will be completed within a reasonable amount of time following the date of receipt of the notice of intent to appeal. The date for the Appeal Hearing will be determined by the availability of Appeal Panel members to hear the case and by the establishment of a quorum. The final determination of the date will be made by the ACEN Chief Executive Officer after consultation with the Appeal Panel members and the appellant program. The ACEN will make every effort to honor the preference of the appellant program but cannot guarantee the date.

The notice of intent to appeal fee must be submitted with the written notice of intent to appeal. The appeal process fee is due within 45 calendar days of the submission of the notice of intent to appeal. The appeal will be considered withdrawn if the program fails to submit the appeal process fee within 45 calendar days of the submission of the notice of intent to appeal. The Appeal Hearing will not be scheduled until the appeal process fee has been paid in full. Additionally, the appellant program may be charged a non-refundable administrative appeal fee, which must be submitted with the written notice of intent to appeal. Credit cards are not an acceptable form of payment for the notice of intent to appeal fee, the appeal process fee, or the non-refundable administrative appeal fee.

If the travel, lodging, meal, legal, and other expenses directly related to the appeal process incurred by the ACEN exceed the cumulative total of the notice of intent to appeal fee and appeal process fee, the program will be responsible for the difference and will receive a subsequent invoice for the additional expenses not covered by the fees. Credit cards are not an acceptable form of payment for invoices for appeal-related expenses. If the travel, lodging, meal, legal, and other expenses directly related to the appeal process incurred by the ACEN are less than the cumulative total of the notice of intent to appeal fee and appeal process fee, the program will be refunded the difference. The appellant program is responsible for all of the travel, lodging, meal, legal, and other expenses directly related to the appeal process incurred by the ACEN regardless of the outcome, disposition, and/or cancellation of the Appeal Hearing.

APPOINTMENT OF AN APPEAL COMMITTEE

Seven (7) members from the Appeal Panel membership shall be appointed to an Appeal Committee to examine a specific appeal. Five (5) members of the Appeal Committee shall represent nursing education of the same program type as the appellant program; one (1) member of the Appeal Committee shall represent nursing practice; one (1) member of the Appeal Committee shall represent the public. Current members of the ACEN Board of Commissioners may not serve on the Appeal Panel.
The ACEN Chief Executive Officer shall appoint the Appeal Committee. A member of the Appeal Panel shall recuse him/herself from the Appeal Committee if there is a conflict of interest or an appearance of a conflict of interest. Appeal Panel and Appeal Committee members are subject to the requirements of ACEN Policy #1 Code of Conduct and Conflict of Interest.

The ACEN Chief Executive Officer shall provide notice of the Appeal Committee membership to the appellant program. The appellant program will have the opportunity to review the proposed Appeal Committee members for any conflicts of interest. Within three (3) working days of appellant program’s receipt of the names of the proposed Appeal Committee membership, the appellant program shall provide written notice of any assertions that a proposed Appeal Committee member has a conflict of interest to the ACEN Chief Executive Officer. If the ACEN Chief Executive Officer determines a conflict of interest exists, another Appeal Committee member shall be appointed within three (3) working days with notification to appellant program. The appellant program shall have an opportunity to review the appointed Appeal Committee member for any conflict of interest. Within three (3) working days of appellant program’s receipt of the name of the appointed panel member, the appellant program shall provide written notice of any assertion that the substituted Appeal Committee member has a conflict of interest to the ACEN Chief Executive Officer. If the ACEN Chief Executive Officer determines that the panel member has a conflict of interest, the procedures of this paragraph shall be repeated until an Appeal Committee member is secured and the Appeal Panel is determined. The ACEN Chief Executive Officer’s determination of a conflict of interest shall be final.

Where necessary to avoid a conflict of interest or in other exceptional circumstances, the ACEN Chief Executive Officer may, in consultation with the appellant program, select individuals outside of the approved list maintained by the ACEN of persons qualified to serve as Appeal Panel members as long as such panel member otherwise meets the qualifications to be a panel member.

PROCEDURES FOR GOVERNING THE APPEAL PROCESS AND APPEAL HEARING

The Appeal Process and Appeal Hearing is a collegial one, and it shall not be bound by technical or formal rules of evidence or pleading. The Appeal Hearing is an administrative process, not judicial hearing, with each party to the appeal advocating its position. Therefore, legal Rules of Evidence and legal procedures, such as the examination of the competency of members of the Appeal Committee, the use of sidebars, or cross-examining those present, are prohibited.

Throughout the Appeal Process and Appeal Hearing, the appellant program will bear the burden of proof.

The ACEN is responsible for sending all material concerning the appeal to members of the Appeal Committee; for providing support services, including lodging, transportation and meeting space for the Appeal Committee members; for confirming the date of the Appeal Hearing; and for securing the services of a court reporter.

The following procedures must be adhered to in an appeal.

DOCUMENTS FOR THE HEARING

1. At least 30 calendar days before the date of the Appeal Hearing, the ACEN must submit to the appellant program and the Appeal Committee documents (administrative record) used by
the ACEN Board of Commissioners leading to and arriving at the decision regarding the program. The administrative record includes the following: (a) minutes of the ACEN Board of Commissioners as pertain exclusively to the appellant program case, (b) a historical summary of the actions taken by the ACEN involving the appellant program, (c) the official ACEN correspondence leading to the adverse action and also related to the appeal, (d) all materials used by the Evaluation Review Panel as the basis for its recommendation, and (e) other documents bearing on the substance of the appeal.

2. At least 14 calendar days before the date of the Appeal Hearing, the appellant program must submit to the ACEN Chief Executive Officer the brief it intends to present at the Appeal Hearing. The brief must specifically direct Appeal Committee members to citations in the administrative record which justify the appellant program’s grounds for appeal. The appellant program must cite page numbers of the text supporting its position. The appellant program is required to submit ten (10) copies of its brief. Failure of the appellant program to provide a brief within the specified period time shall be cause for case dismissal by the Appeal Committee.

3. At least ten (10) calendar days before the date of the Appeal Hearing, the ACEN is required to submit copies of the administrative record and the appellant program’s brief to the Appeal Committee.

4. At least seven (7) calendar days before the date of the Appeal Hearing, the ACEN must submit to the appellant program and Appeal Committee, its response to the appellant program’s brief.

5. Neither the appellant program nor the ACEN may submit additional briefs or any other materials during or following the Appeal Hearing.

THE HEARING

1. The Appeal Committee will select a Chair who will be responsible for ensuring effective implementation of the appeal process and for filing the Appeal Committee’s decision with the ACEN Chief Executive Officer.

2. The Chair of the Appeal Committee may have a preliminary conference, in person or by telephone, either at the Chair’s request or at the request of a party, to discuss the procedures for the appeal. The conference will be conducted by the Chair with representatives from both parties in attendance.

3. At least 14 calendar days before the date of the Appeal Hearing, the appellant program and the ACEN must submit to each other the names and titles of those individuals selected to appear as witnesses, representatives, and legal counsel, one (1) of whom must be the Nurse Administrator. Once the names are submitted to each other there may be no substitutions, except as may be approved by the Chair.

4. The ACEN Board of Commissioners bases its adverse action on reports, institutional responses, documentation, and evidence presented by the institution at the time of its review. If the appellant program failed to present documentation and evidence available at the time the ACEN Board of Commissioners took adverse action, it cannot make that information available for consideration by the Appeal Committee under any circumstances. In addition, neither the ACEN nor the appellant program may include new
information/materials as part of their briefs presented to the Appeal Committee nor may they introduce new evidence during the Appeal Hearing unless, in the case of an institution removed from accreditation based solely on finances, it may make available new and verifiable financial information that became available since adverse action was taken and that is material to the reason for the ACEN Board of Commissioner’s adverse action. It is incumbent upon all parties to ensure that all evidence to be presented at the Appeal Hearing is submitted as required by ACEN Policy #10 Appeal Process for Review of Adverse Action.

5. The Appeal Hearing is closed to the public and shall include only those persons who can speak to the grounds for appeal.

6. Both parties are present during the Appeal Hearing.

7. No more than five (5) persons and one attorney shall appear for each of the parties. The nurse administrator of the appellant program shall appear before the Appeal Committee.

8. Attendees will be seated with counsel and may testify from where they sit. There will be no sequestration of witnesses.

9. The Appeal Committee may ask questions of the attendees, and their questions shall not count against the time allowed either side.

10. Legal counsel may not cross-examine witnesses for the other party and may not voice objections.

11. The presentation of the parties, including any questions of the Appeal Committee, shall be transcribed by a court reporter provided by the ACEN.

12. Since the appellant program bears the burden of proof, the appellant program will be the first party to present its case during the Appeal Hearing.

13. The Chair of the Appeal Committee will limit the presentation of the appellant program and the ACEN to one (1) hour each, and shall notify both before the Appeal Hearing of such time limit. The one (1) hour does not include a time period for questioning from the members of the Appeal Committee. At the request of the appellant program or the ACEN, the Chair may allow time for final response by either party, not to exceed one-half hour. These time limits can be extended only by the Chair. Since the institution bears the burden of proof, the institution will be the first party to present a final response and may reserve some time to conclude.

14. Within seven (7) calendar days of the date of the Appeal Hearing, the Chair of the Appeal Committee shall inform the ACEN Chief Executive Officer and Chair of the ACEN Board of Commissioners in writing of the Appeal Committee’s decision. This notification must include specific reasons for the decision, must address each of the grounds for appeal identified by the appellant program, and must address the findings with regard to standards on which the ACEN Board of Commissioner’s decision was based. A PDF version of the letter of notification will be emailed and will be deemed acceptable by transmission. The original letter will be next-day express mailed to the chief executive officer of the appellant program’s governing organization and to the ACEN Chief Executive Officer.
CONDITIONS

1. The appellant program bears the burden of proof.
   a. To gain a REVERSAL of the ACEN Board of Commissioners’ decision, the appellant program must present evidence which, in the judgment of the Appeal Committee, demonstrates that the ACEN Board of Commissioners’ decision was arbitrary, that is, was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or ACEN published policies and/or procedures.
   b. In order to gain a REMAND, the appellant program must present evidence which, in the judgment of the Appeal Committee, demonstrates that the ACEN Board of Commissioners failed to follow its published policies and/or procedures and that this failure was significant in leading to its decision. An appellant program removed from accreditation based solely on finances may also gain a remand if it presents new and verifiable financial information that has become available since the adverse action was taken and that is material to the reason for the ACEN Board of Commissioners’ decision.
   c. If the appellant program fails to provide evidence adequate to gain a REVERSAL or a REMAND, the initial decision of the ACEN Board of Commissioners must be AFFIRMED.

2. The accreditation status of an appellant program for which an appealable action has been taken shall remain as it was before such action until the 10 calendar day period for filing an appeal has expired. Receipt of a notification of an appeal from an appellant program by the ACEN Chief Executive Officer during this 10 calendar day period will cause that previous status to be continued until a final decision is made on the appeal. If the result of an appeal is to affirm the ACEN Board of Commissioners’ decision, the original effective end date of the nursing program’s accreditation is also affirmed.

RIGHTS AND LIMITATIONS

1. The appellant program and the ACEN have the following rights in an appeal:
   a. To have available legal counsel or a representative of their choice to advise them at the Appeal Hearing. Legal counsel representing each of the parties will be permitted to present or assist in the presentation of the case during the Appeal Hearing. Legal counsel will not be permitted to conduct a cross-examination of representatives from the opposing party.
   b. If the Chair of the Appeal Committee, the appellant program, or the ACEN requests a pre-hearing conference, the conference will be conducted by the Chair with representatives from both parties in attendance.
   c. To present written or oral testimony and/or evidence pertinent to the grounds for the appeal consistent with this ACEN Policy #10 Appeal Process for Review of Adverse Action. Only the Appeal Committee has the right to question individuals present at the Appeal Hearing. All individuals who testify will testify under oath as administered by the court reporter.
To receive a transcript and any other related records of the Appeal Process and Appeal Hearing, upon payment of the costs of reproduction.

e. The Appeal Committee's discussions and deliberations, all votes taken, and the discussion on the final decision itself are not conducted on the record.

f. Presentations by the appellant program and the ACEN, questions asked of these representatives by the Appeal Committee, and responses to such questions are to be recorded and transcribed. Transcripts are a matter of record of the proceedings.

2. The decision of the ACEN Board of Commissioners shall be reviewed based exclusively upon the conditions existing at the time of that decision, except in the case of an appellant program removed from accreditation based solely on finances that has available new and verifiable financial information that is material to the ACEN Board of Commissioners' adverse action, and shall be subject to the following limitations:

a. No evidence concerning the remedying of deficiencies since the time of the ACEN Board of Commissioners' adverse action shall be presented at or before the Appeal Hearing under any circumstances. The Appeal Committee is prohibited from considering such evidence in reaching its decision. No new evidence made available since the time of the ACEN Board of Commissioners' adverse action shall be presented at or before the Appeal Hearing. The Appeal Committee is prohibited from considering such evidence in reaching its decision. The only exception is that of the case of an appellant program removed from accreditation based solely on finances that has available new and verifiable financial information that is material to the ACEN Board of Commissioners' adverse action, in which case the matter shall be remanded for further review.

b. The Appeal Committee shall not, in its decision or otherwise, instruct the ACEN staff, the Evaluation Review Committee, or the ACEN Board of Commissioners to consider evidence concerning the remedying of deficiencies since the date of the ACEN Board of Commissioners' original decision, except in the case of an appellant program removed from accreditation based solely on finances for which the matter is remanded.

3. The Chair of the Appeal Committee must disallow evidence presented by the appellant program or the ACEN

a. which is not pertinent to the grounds for appeal;

b. which concerns the remedying of deficiencies since the time of the ACEN Board of Commissioners' decision;

c. which was not available to the Board of Commissioners at the time of its decision, except in the case of an appellant program removed from accreditation based solely on finances that has available new and verifiable financial information that is material to the ACEN Board of Commissioners' decision.

4. The Appeal Committee members may not disclose the content of confidential discussions or deliberations leading to its decision.
5. The Appeal Committee members may not disclose its decision prior to the Chair’s notification to the ACEN Chief Executive Officer and the Chair of the ACEN Board of Commissioners.

**ACTIONS**

1. The Appeal Committee, after the presentation of oral and/or written testimony, must determine whether the appellant program has demonstrated either of the following:
   
   a. that the ACEN Board of Commissioners failed to follow its published policies and/or procedures and that this failure was significant in leading to the Board of Commissioners’ decision;

   b. that the ACEN Board of Commissioners’ decision was arbitrary, that is, was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or ACEN published policies and/or procedures.

2. The Appeal Committee shall act within the following limitations:
   
   a. **AFFIRM** the ACEN Board of Commissioners' decision
      
      i. The Appeal Committee shall AFFIRM the Commission's decision, unless it finds that the appellant program:
          
          1. demonstrated that the ACEN Board of Commissioners failed to follow its published policies and/or procedures and that this failure was significant in leading to the Board of Commissioners’ decision;

          2. demonstrated that the ACEN Board of Commissioners’ decision was arbitrary, that is, was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or ACEN published policies and/or procedures;

          3. removed from accreditation based solely on finances, produced evidence that it has available new and verifiable financial information and that the financial information is material to the ACEN Board of Commissioners’ decision.

      ii. The decision by the Appeal Committee to AFFIRM the ACEN Board of Commissioners’ decision is final and is not subject to further appeal.

   b. **REVERSE** the ACEN Board of Commissioners' decision
      
      i. The Appeal Committee shall REVERSE the decision of the ACEN Board of Commissioners if it finds that the appellant program has demonstrated that:
          
          1. the ACEN Board of Commissioners’ decision was arbitrary, that is, was unreasonable and not based on, or consistent with, the published ACEN Standards and Criteria or ACEN published policies and/or procedures.

      ii. The decision by the Appeal Committee to REVERSE the ACEN Board of Commissioners' decision is final and is not subject to further appeal.
c. **REMAND** the ACEN Board of Commissioners’ decision

If the appellant program demonstrated that the ACEN failed to follow its published policies and/or procedures, the Appeal Committee shall remand to the specific group where the failure occurred.

i. The Appeal Committee shall **REMAND** the decision of the ACEN Board of Commissioners if it finds that the appellant program has demonstrated that the ACEN failed to follow the its published policies and/or procedures, that the failure was significant in leading to the ACEN Board of Commissioners’ decision, and that the failure was significant in leading to the adverse action.

ii. The Appeal Committee shall **REMAND** the case to the specific group where the failure occurred with specific instructions to review the case taking into consideration any findings of the Appeal Committee. The specific group where the failure occurred will reconsider the case and forward its recommendation to the next level of review.

iii. If the Appeal Committee **REMANDS** the case, the reconsideration shall occur at the next realistic accreditation review cycle following the decision of the Appeal Committee.

If the ACEN Board of Commissioners affirms its original decision, the appellant program may again appeal based on the Grounds for Appeal. The case will be heard again by an Appeal Committee composed of different members, which will then make a final decision to affirm or reverse. The Appeal Committee cannot remand again.

**Policy #10 History**
Revised July 2015

**POLICY #11**
**PUBLIC NOTICE OF PROPOSED POLICY CHANGES**

The ACEN provides notice of proposed new or revised policies. Interested parties are given an opportunity to comment prior to implementation.

**Policy #11 History**
Revised April 2014

**POLICY #12**
**NURSING PROGRAM RECORDS ON FILE**

The ACEN maintains the following records for each nursing program:

1. Accreditation and Reaccreditation (from most recent review)
   a. Self-Study Report
   b. Governing Organization Catalog
   c. Site Visit Report
d. Nurse Administrator Response Form  
e. Summary of the Deliberations of the Evaluation Review Panel

2. Formal Written Correspondence (as applicable)  
a. Commission Action on Accreditation Status  
b. Commission Action on Substantive Change Prospectus and/or Letter of Notification  
c. Complaints (see ACEN Policy #20)  
d. Correspondence between the ACEN and the Governing Organization and/or Nursing Education Unit

3. Reports (as applicable)  
a. Focused Visit Report  
b. Focused Site Visit Report  
c. Follow-Up Report  
d. Follow-Up Site Visit Report  
e. Substantive Change Prospectus and/or Letter of Notification  
f. Candidacy Application Form (for a period of three [3] years or until initial accreditation is earned, whichever occurs first)  
g. Candidacy Presentation (for a period of three [3] years or until initial accreditation is earned, whichever occurs first)

Selected records for nursing programs that have voluntarily withdrawn from the accreditation process or been denied continuing or initial accreditation are maintained by the ACEN.

Policy #12 History  
Revised November 2015

POLICY #13  
INTERIM REPORT (NOT IN EFFECT)

Policy #13 History  
Revised April 2014
POLICY #14
REPORTING SUBSTANTIVE CHANGES

NURSING PROGRAM OBLIGATIONS

It is the responsibility of each nursing education unit to notify the ACEN of major changes in a nursing program to ensure maintenance of accreditation status and protection of students in accordance with Policy #14 Reporting Substantive Changes and, when required, seek approval prior to the initiation of the substantive change. Failure to report a substantive change places the accreditation status of a nursing program in jeopardy and may have consequences related to Title IV certification and eligibility.

The ACEN reserves the right to reconsider the accreditation status of a nursing program at any time. The ACEN Board of Commissioners may also take action to require formal monitoring of a nursing program due to non-compliance with any Accreditation Standard.

A substantive change is a significant modification or expansion of the nature and scope of a nursing program and/or nursing education unit. Based on federal regulations and ACEN policies, a substantive change includes:

- Changing the ownership, legal status, or form of control of the governing organization
- Changing the mission or objectives of the governing organization (this does not include a revision/update in the mission statement)
- A change in the nurse administrator
- A significant change in the organizational structure of the nursing education unit that would place a nursing program in non-compliance with the ACEN Standards and Criteria
- Offering a nursing program via distance education
- Changing the method of academic measurement (e.g., from clock hours to credit hours)
- Adding courses or nursing programs of study at a degree or credential level different from that which is included in the nursing education unit’s current accreditation
- Adding a nursing program option within an accredited nursing program
- Changing the number of credit hours or the number of clock hours from currently accepted/approved courses required for successful completion of a nursing program
- Increasing or decreasing the number of credit hours or the number of clock hours, either in content or method of delivery, required for successful completion of a nursing program
- Inactivating a nursing program
- Closing a nursing program
- Closing an option within a nursing program
- Acquiring from another governing organization an accredited or non-accredited nursing program
- Acquiring from another governing organization an off-campus instructional site where an accredited or non-accredited nursing program is offered
- An increase in enrollment of 25% or greater by headcount, in one academic year, for each nursing program offered
- An increase in enrollment of 50% or greater by headcount, in one academic year, for the governing organization
- Establishing a branch campus where an accredited or non-accredited nursing program is offered
- Establishing a new off-campus instructional site
• Relocating a currently approved off-campus instructional site serving the same geographic region where nursing program is offered
• Adding an off-campus instructional site at which the governing organization is conducting a teach-out for students of another governing organization that has ceased operating before all students enrolled in an accredited or non-accredited nursing program have completed the nursing program of study
• Inactivating an off-campus instructional site where a nursing program or nursing program option is offered
• Closing an approved off-campus instructional site or branch campus where a nursing program is offered
• Entering into a contractual or consortia relationship with an entity to jointly offer all or part of a nursing program
• Entering into a relationship under which an entity not certified to participate in Title IV, HEA offers more than 25% of one (1) or more of the nursing education unit’s nursing programs
• A change in the approval status with the state regulatory agency for nursing (see ACEN Policy #17)
• A negative or adverse action by an appropriate institutional accrediting agency (see ACEN Policy #18)
• A decline in the nursing program outcomes, including performance on licensure or certification examinations, nursing program completion rates, nursing program satisfaction, and/or employment rates
• A change in Title IV Status (applies only if ACEN is the Title IV gatekeeper for the nursing program)

PROCEDURE FOR REPORTING A SUBSTANTIVE CHANGE:
AN OVERVIEW

There are three (3) procedures for addressing the different types of substantive changes.

1. Procedure 1: Review of a substantive change requiring the approval of the Board of Commissioners prior to implementation; prospectus required.

2. Procedure 2: Review of a substantive change requiring only notification prior to implementation; letter of notification required.

3. Procedure 3: Review of the closing of a nursing program, closing of an option within a nursing program, or closing of an off-campus instructional site or branch campus where a nursing program is offered; approval prior to implementation, teach-out agreement, and/or teach-out plan required.

POLICY STATEMENTS REGARDING SUBSTANTIVE CHANGE

1. The Standards and Criteria apply to all ACEN-accredited nursing programs wherever the nursing program is located or however the nursing program is delivered. Failure to comply with the Standards and Criteria or with the procedures referred to in this policy could result in the nursing program being placed on warning or being removed from the list of accredited nursing programs.

2. Denial of a substantive change is not appealable. A nursing education unit that fails to gain approval of a substantive change may resubmit a revised prospectus following the
guidelines and timeframes described in this document.

3. A nursing program in the appeals process or in litigation with the ACEN is not eligible for consideration of substantive change.

4. Nursing programs that were granted continuing accreditation with warning or warning for good cause by the ACEN Board of Commissioners as a result of the last accreditation review may not implement a substantive change until the warning or warning for good cause status has been resolved. Exceptions may be made for substantive changes deemed necessary to ensure the nursing program's compliance with the Standards and Criteria with which the program was found to be in non-compliance. For example, a nursing program may implement a curriculum change to address non-compliance with Standard 4 Curriculum. However, a nursing program may not increase student enrollment, add new nursing program options, add new teaching locations, implement distance education, or add new nursing program types until the warning or warning for good cause status has been resolved.

5. The ACEN substantive change policy applies only to accredited nursing programs. The substantive change policy does not apply to programs in candidacy.

6. Procedures 1, 2, and 3 may not address all substantive changes that ACEN will review in the interim between a nursing program's reaccreditation cycles. Therefore, the ACEN reserves the right to classify significant changes other than those described above as substantive in nature and to follow up accordingly. The follow-up procedure may include a focused visit.

7. A nursing program may withdraw/discontinue a substantive change at any time prior to the review by either the ACEN staff or the Board of Commissioners by submitting a formal letter of withdrawal to the ACEN Chief Executive Officer.

8. Once a nursing program submits a substantive change and the document is reviewed by either the ACEN staff or the Board of Commissioners, any information included therein that indicates possible non-compliance with any of the Standards and Criteria may lead ACEN to further review the nursing program, even if the prospectus is withdrawn or approval of the change is denied.

9. ACEN staff review all substantive changes requiring notification prior to implementation.

10. ACEN staff will conduct a preliminary review of all changes requiring approval by the ACEN Board of Commissioners. All substantive changes described in Procedure 1 are referred to the Board of Commissioners for approval, as are the following situations:

    a. A proposed substantive change requiring prior approval submitted by a nursing program currently on warning or warning for good cause.

    b. A proposed substantive change submitted by an institution placed on reimbursement or cash monitoring status by the U.S. Department of Education for Title IV federal funding when the ACEN is the Title IV gatekeeper for the nursing program.

11. Substantive changes of the types described in Procedure 1 and Procedure 2 will not typically affect a nursing program's cycle of reaccreditation.
12. Following the approval of a degree level change by the ACEN Board of Commissioners, an institution may not initiate additional nursing program options at the new degree level until after the Board takes positive action on the nursing program’s accreditation following the site visit authorized at the time of approval.

13. The date of the letter of approval of a substantive change is considered the date on which the change is included as part of the nursing program’s accreditation.

14. Extensive substantive changes by a nursing education unit may accelerate the date for the nursing program’s next reaccreditation. Examples of triggers for an accelerated reaccreditation include the following changes: proliferation of branches or off-campus instructional sites where the nursing program is offered, frequent mergers or consolidations with other nursing programs, significant increase in enrollment in the nursing program, or rapid proliferation of new nursing program options.

15. If ACEN is the Title IV gatekeeper for the nursing program and the nursing program fails to follow the ACEN substantive change policy and procedures, the nursing program may lose its Title IV funding or be required by the U.S. Department of Education to reimburse it for money received for nursing programs related to the unreported substantive change. In addition, the nursing program may be referred to the ACEN Board of Commissioners for the imposition of a sanction or removal from the listing of accredited nursing programs.

COMMISSION RESPONSIBILITIES

The ACEN accredits nursing programs wherever a nursing program is located or however a nursing program is delivered. ACEN is responsible for reviewing all substantive changes that occur, determining whether the change has affected the quality of the nursing program, and assuring the public that all aspects of the nursing program continue to meet the Standards and Criteria.

The ACEN is recognized by the U.S. Department of Education as an agency whose accreditation enables nursing programs to seek eligibility to participate in Title IV nursing programs. To maintain its recognition with the U.S. Department of Education, the ACEN has incorporated federal requirements into its substantive change policy and procedures. Some of those requirements specify that a nursing education unit seek and receive approval prior to the initiation of a substantive change so that the change can be included in the nursing program’s scope of accreditation.
NURSING PROGRAM RESPONSIBILITIES

It is the responsibility of a nursing program to follow ACEN substantive change procedures and inform the ACEN of substantive changes as specified in this policy and procedures. If a nurse administrator is unclear as to whether a change is substantive in nature, he or she should contact ACEN staff for consultation.

TYPES OF COMMISSION ACTIONS

For substantive changes that require a nursing program to follow Procedure 1, the Board of Commissioners may take the following actions:

1. Approve the substantive change; no focused visit required.

2. Approve the substantive change and authorize a focused visit for review of compliance with specified Accreditation Standard(s); the focused visit must occur within six (6) calendar months after the implementation of the substantive change. The Focused Site Visit Report will be reviewed by the Board of Commissioners in accordance with ACEN Policy #19 Focused Visit.

3. Deny the substantive change. This is not an appealable action.

4. Request additional information regarding area(s) of concern with specified Criteria; the due date for the additional information may not exceed one (1) calendar year from the date of the request.

5. Accept the additional information and affirm the program’s accreditation status and date of the next scheduled visit; no further information is requested.

6. Recognize the additional information and authorize a focused visit for review of compliance with specified Accreditation Standard(s); the focused visit must occur within six (6) calendar months. The Focused Site Visit Report will be reviewed by the Board of Commissioners in accordance with ACEN Policy #19 Focused Visit.

For substantive changes that require a nursing program to follow Procedures 2 and 3, the ACEN staff may take the following actions:

1. Accept the required documentation; no further information is requested.

2. Refer the substantive change request to the Board of Commissioners for consideration and possible further action.

REPORTING THE VARIOUS TYPES OF SUBSTANTIVE CHANGE

The different types of substantive change, the specific procedure to be used for each, the respective approval/notification requirement, and the reporting timeline are included in the following table. Please read the full text under the appropriate procedure for details regarding reporting.
<table>
<thead>
<tr>
<th>Type of Substantive Change</th>
<th>Procedure</th>
<th>Timeframe for Submitting Required Documentation to ACEN</th>
<th>Prior Approval from ACEN Required</th>
<th>Focused Visit</th>
<th>Required Documentation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Changing the ownership, legal status, or form of control of the governing organization</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ if ACEN is not the Title IV gatekeeper</td>
</tr>
<tr>
<td>Changing the mission or objectives of the governing organization (this does not include a revision/update in the mission statement)</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Required</td>
<td>Prospectus¹ if ACEN is the Title IV gatekeeper; change must go into effect within 30 days of the effective date approved by the Board of Commissioners</td>
</tr>
<tr>
<td>Changing the mission or objectives of the governing organization (this does not include a revision/update in the mission statement)</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Required</td>
<td>Prospectus¹ if ACEN is the Title IV gatekeeper</td>
</tr>
<tr>
<td>A change in the nurse administrator</td>
<td>2</td>
<td>Upon decision being made but no later than one (1) month after permanent or interim nurse administrator assumes duties/responsibilities</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification²</td>
</tr>
<tr>
<td>Significant change in the organizational structure of the nursing education unit that would place a nursing program in non-compliance with ACEN Standards and Criteria</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
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<td>Type of Substantive Change</td>
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<tr>
<td>Distance Education</td>
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<tr>
<td>Offering 50% to 100% of the number of credit hours or the number of clock hours of the nursing courses via distance education; once approved to offer any nursing program via distance education, approval is not required to offer subsequent nursing program(s)</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Offering 25% to 49% of the number of credit hours or the number of clock hours of the nursing courses via distance education</td>
<td>2</td>
<td>Prior to implementation</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification²</td>
</tr>
<tr>
<td>Offering 1% to 24% of the number of credit hours or the number of clock hours of the nursing courses via distance education</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
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<tr>
<td>Changing the method of academic measurement (e.g., from clock hours to credit hours)</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus&lt;sup&gt;1&lt;/sup&gt; documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
</tbody>
</table>
| Adding courses or nursing programs of study at a degree or credential level different from that which is included in the nursing education unit’s current accreditation | 2         | Prior to implementation                                   | No                               | No            | Letter of Notification<sup>2</sup> documenting the impact on the compliance of the currently accredited nursing program with the ACEN Standards and Criteria  
See candidacy process for the nursing program at the new degree or credential level different from that which is currently included in the nursing education unit’s current accreditation |
<p>| Adding a nursing program option within a nursing program by deleting and/or substituting 25% or more of the existing nursing courses to form the new nursing program option | 1         | Four (4) months prior to implementation of the change     | Yes                              | Possible      | Prospectus&lt;sup&gt;1&lt;/sup&gt; documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria |</p>
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<tr>
<td>An increase, decrease, or substitution of 25% or greater of the credit hours or clock hours from currently accepted/approved courses in a nursing program required for completion of a nursing program, including but not limited to: Deleting existing nursing courses and substituting new nursing courses Deleting existing general education courses and substituting new general education courses</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Inactivating a nursing program</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification</td>
</tr>
<tr>
<td>Reactivating a nursing program</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification</td>
</tr>
<tr>
<td>Closing a nursing program</td>
<td>3</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Teach-out plan and/or teach-out agreement</td>
</tr>
<tr>
<td>Closing an option within a nursing program</td>
<td>3</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>No</td>
<td>Teach-out plan and/or teach-out agreement</td>
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<tr>
<td>Acquiring from another governing organization an accredited or non-accredited nursing program</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Acquiring from another governing organization an off-campus instructional site where an accredited or non-accredited nursing program is offered</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>An increase in enrollment of 25% or greater by headcount in one (1) academic year for each nursing program offered</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>An increase in enrollment of 50% or greater by headcount in one (1) academic year for the governing organization</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>If ACEN is not the Title IV gatekeeper, Letter of Notification² documenting the impact on the compliance of nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td></td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
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<tr>
<td>Establishing a branch campus at which an accredited or non-accredited nursing program is offered</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>If ACEN is not the Title IV gatekeeper, Letter of Notification² documenting the impact on the compliance of nursing program with the ACEN Standards and Criteria</td>
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<tr>
<td></td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Required</td>
<td>If ACEN is the Title IV gatekeeper, Prospectus¹ documenting the impact on the compliance of the nursing program with ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Establishing a new off-campus instructional site at which students can obtain...</td>
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</tr>
<tr>
<td>... 50% or more of the number of credit hours or the number of clock hours required for completion of a nursing program</td>
<td>1</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Required for first three (3) new off-campus instructional sites⁴</td>
<td>Prospectus¹ documenting the impact on the compliance of nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>... 25% to 49% of the number of credit hours or the number of clock hours required for completion of a nursing program</td>
<td>2</td>
<td>Prior to implementation</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification²</td>
</tr>
<tr>
<td>... 1% to 24% of the number of credit hours or the number of clock hours required for completion of a nursing program</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
<td>N/A</td>
</tr>
<tr>
<td>Type of Substantive Change</td>
<td>Procedure</td>
<td>Timeframe for Submitting Required Documentation to ACEN</td>
<td>Prior Approval from ACEN Required</td>
<td>Focused Visit</td>
<td>Required Documentation</td>
</tr>
<tr>
<td>---------------------------</td>
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</tr>
<tr>
<td>Relocating a currently approved off-campus instructional site serving the same geographic region where nursing program is offered</td>
<td>2</td>
<td>Prior to implementation</td>
<td>No</td>
<td>Possible</td>
<td>Letter of Notification¹</td>
</tr>
<tr>
<td>Adding an off-campus instructional site at which the governing organization is conducting a teach-out for students of another governing organization that has ceased operating before all students enrolled in an accredited or non-accredited nursing program have completed the nursing program of study</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Letter of Notification¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Inactivating an off-campus instructional site where a nursing program or nursing program option is offered</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification¹</td>
</tr>
<tr>
<td>Reactivating an off-campus instructional site where a nursing program or nursing program option is offered</td>
<td>2</td>
<td>Four (4) months prior to implementation of the change</td>
<td>No</td>
<td>No</td>
<td>Letter of Notification¹</td>
</tr>
<tr>
<td>Type of Substantive Change</td>
<td>Procedure</td>
<td>Timeframe for Submitting Required Documentation to ACEN</td>
<td>Prior Approval from ACEN Required</td>
<td>Focused Visit</td>
<td>Required Documentation</td>
</tr>
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</tr>
<tr>
<td>Entering into a contractual or consortia relationship with an entity to jointly offer all or part of a nursing program</td>
<td>I</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria; may require approval through the candidacy process for the nursing program</td>
</tr>
<tr>
<td>Entering into a relationship under which an entity not certified to participate in Title IV, HEA offers 25% or more of the clock hours or credit hours required for completion of a nursing program</td>
<td>I</td>
<td>Four (4) months prior to implementation of the change</td>
<td>Yes</td>
<td>Possible</td>
<td>Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>A change in approval status with the state regulatory agency for nursing; see ACEN Policy #17</td>
<td>I</td>
<td>N/A</td>
<td>Yes</td>
<td>Possible</td>
<td>Immediate submission of Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>A negative or adverse action by the appropriate institutional accrediting agency; see ACEN Policy #18</td>
<td>I</td>
<td>N/A</td>
<td>Yes</td>
<td>Possible</td>
<td>Immediate submission of Prospectus¹ documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Type of Substantive Change</td>
<td>Procedure</td>
<td>Timeframe for Submitting Required Documentation to ACEN</td>
<td>Prior Approval from ACEN Required</td>
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<td>------------------------</td>
</tr>
<tr>
<td>A decline in the licensure or certification examination pass rates that places the nursing program below the national three-year mean for the program type</td>
<td>I</td>
<td>N/A</td>
<td>Yes</td>
<td>Possible</td>
<td>Immediate submission of Prospectus(^1) documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>A decline in the nursing program completion rate with regards to the expected level of achievement established for the nursing program</td>
<td>I</td>
<td>N/A</td>
<td>Yes</td>
<td>Possible</td>
<td>Immediate submission of Prospectus(^1) documenting the impact on the compliance of the nursing program with the ACEN Standards and Criteria</td>
</tr>
<tr>
<td>Change in Title IV status(^5)</td>
<td>I</td>
<td>N/A</td>
<td>Yes</td>
<td>Possible</td>
<td>Immediate submission of Prospectus(^1) documenting the impact on the compliance of nursing program with the ACEN Standards and Criteria</td>
</tr>
</tbody>
</table>

\(^1\) See Procedure 1 – Prospectus (pp. 45-51) for reporting instructions.

\(^2\) See Procedure 2 – Letter of Notification (pp. 52-54) for reporting instructions.

\(^3\) See Procedure 3 – Closings (p. 55) for reporting instructions.

\(^4\) When an institution initiates its fourth, fifth, sixth, etc. off-campus instructional sites where 50% or more of a nursing program’s credits are offered, the ACEN may, at its discretion, choose not to conduct a focused visit to any of these additional sites at the times of their initiation if the institution has previously demonstrated a record of effective oversight of the nursing program at its off-campus instructional sites and has not been placed on warning or warning for good cause. However per federal regulation, if ACEN is the Title IV gatekeeper it is required to review and possibly conduct a visit a sample of off-campus instructional sites every five (5) years.

\(^5\) Only applies if ACEN is the Title IV gatekeeper for a nursing program.
GLOSSARY OF TERMS

Alternative Methods of Delivery: The way in which a nursing course, program option, or nursing program is shared with students, including non-traditional means of student-faculty interaction, length required for the nursing program of study, and/or varying intervals of class and/or clinical schedules. Examples include, but are not limited to: accelerated or condensed formats, ITV, videotape/DVD learning packages, and online delivery.

Branch Campus: A location of an institution that is geographically separate and independent from the main campus of the institution. A location is independent from the main campus if the location:

- is permanent in nature;
- offers courses in educational nursing programs leading to a degree, certificate, or other recognized educational credential;
- has its own faculty and administrative or supervisory organization; and
- has its own budgetary and hiring authority.

Closing Report: A written plan developed by a governing organization/nursing education unit that provides for the equitable treatment of students if a governing organization/nursing education unit, or a nursing program location that provides 50% or more of a nursing program, ceases to operate before all students have completed their nursing program of study, and may include, if required by the governing organization’s accrediting agency, a teach-out agreement between governing organizations/nursing education units. This applies to the closure of a governing organization, an off-campus instructional site, a branch campus, or a nursing program. The Closing Report requires ACEN approval in advance of implementation. See ACEN Policy #16.

Contractual Agreement: Typically is one in which a nursing program enters an agreement for receipt of courses or portions of courses (e.g., clinical training internships) delivered by another institution or service provider.

Consortia Relationship: Typically one in which two (2) or more governing organizations/nursing education units share the responsibility of developing and delivering nursing courses or a nursing program, in whole or part.

Distance Education: An educational process in which the majority of the instruction occurs when a student and instructor are not in the same place. Instruction may be synchronous or asynchronous. Distance education may employ audio, video, and/or computer technologies and includes technology that is used to support regular and substantive interactions between the instructor and the students.

Distance Technology: Instructional methods that may include one-way or two-way transmissions, audio, video, the Internet, and/or computer technologies.

Geographic Region: An area serving the same/similar population of students and communities.

Geographically Separate: An off-campus instructional site or branch campus that is located physically apart from the main campus of the institution.
**Governing Organization:** The institution with overall responsibility and authority for the nursing education unit (e.g., college, university, hospital, career center, etc.).

**Inactivation of a Nursing Program:** A period of time during wherein no new students are admitted into a nursing program; therefore, there will be no graduates from the nursing program for an intervening period of time.

A nursing education unit may inactivate a nursing program for a limited period of time per the schedule below:

<table>
<thead>
<tr>
<th>Type of Program</th>
<th>Length of Time Program May be Inactive</th>
</tr>
</thead>
<tbody>
<tr>
<td>Practical</td>
<td>Up to one (1) calendar year after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Diploma</td>
<td>Up to two (2) calendar years after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Associate</td>
<td>Up to one (1) calendar year after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Baccalaureate</td>
<td>Up to two (2) calendar years after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Master’s</td>
<td>Up to one (1) calendar year after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Doctorate of Nursing Practice</td>
<td>Up to one (1) calendar year after the last graduate completes the nursing program</td>
</tr>
<tr>
<td>Post-Master’s Certificate</td>
<td>Due to program length, inactivation is not applicable; nursing program must be in continuous operation or close</td>
</tr>
</tbody>
</table>

If a nursing education unit does not reactivate a nursing program within the period of time specified in the table above, then the nursing program is considered closed and removed from the list of accredited programs. To restart the nursing program, the nursing education unit must reapply for accreditation with ACEN.

**Inactivation of an Off-Campus Instructional Site:** A period of time during which a nursing education unit does not offer (1) a nursing program and the nursing courses for the program or (2) a nursing program option and the nursing courses for the program option at an approved off-campus instructional site for five (5) academic years (e.g., 20XX-20XX). After five (5) academic years wherein no students are enrolled and no nursing courses are offered at the off-campus instructional site, the nursing program must follow the substantive change process to reinstate the off-campus instructional site.

**Letter of Notification:** A letter from the nurse administrator, or his/her designee, to the ACEN Chief Executive Officer summarizing a substantive change and pertinent information therein.

**Nursing Education Unit:** A nursing education unit may offer one (1) or more nursing program types; all nursing programs within the nursing education unit must be administered by a single individual with the responsibility and authority for all nursing programs.
Nursing Program: A nursing program is identified as a single entity (nursing program) when it can be demonstrated that all of the following criteria are met:

1. The nursing program is within a single governing organization that is accredited through an appropriate institutional accrediting agency that is recognized by the ACEN.
2. The nursing program is within the jurisdiction of one (1) state regulatory agency for nursing body exclusive of clinical learning experiences.
3. There is one (1) set of student learning outcomes for the nursing program offered.
4. There is one (1) nurse administrator who has responsibility and authority for the nursing program, which may include the hiring and evaluation of faculty; the development or revision of the curriculum; the assignment/approval of faculty responsibilities; the establishment of nursing-program-specific admission/progression criteria; and development and administration of the nursing program budget.
5. The faculty can demonstrate that they function as a faculty of the whole within a set of established faculty policies through their organization and decision-making processes and methods of input into the curriculum development, delivery, and evaluation.
6. There is a systematic evaluation plan that addresses the student learning outcomes, nursing program outcomes, and the ACEN Standards and Criteria.
7. A single degree, certificate, or diploma is offered to students who successfully complete the nursing program.
8. All students are governed by a single set of policies.

Off-Campus Instructional Site: Any location that is physically apart from the main campus of the institution where a nursing program is offered, in whole or part.

Nursing Program Length: Total time required to complete the defined nursing program of study stated in academic semesters/terms inclusive of all prerequisites and the total number of credits required.

Nursing Program Locations: Sites where a nursing program is delivered, in whole or part, including the main location, off-campus instructional site, or branch campus.

Nursing Program Option: Format used for delivering a nursing program, including, but not limited to, a pre-licensure option, post-licensure completion option, accelerated option, or specialized tracks.

Nursing Program Type: A nursing program that offers a recognized degree, diploma, or certificate. The ACEN accredits a variety of nursing program types, including clinical doctorate, master’s and post-master’s certificate, baccalaureate, associate, diploma, and practical.

Reactivation of a Nursing Program: The end of the period of time wherein no new students were admitted into a nursing program. If a nursing education unit does not reactivate a nursing program within the period of time specified by the ACEN then the nursing program is considered closed and removed from the list of accredited programs. To restart the nursing program, the nursing education unit must reapply for accreditation with ACEN.

Reactivation of an Off-Campus Instructional Site: The end of the period of time when a nursing education unit does not offer (1) a nursing program and the nursing courses for the program or (2) a nursing program option and the nursing courses for the program option at an
approved off-campus instructional site. If a nursing education unit does not reactive the off-campus instruction site within five (5) academic years (e.g., 20XX-20XX) of no students enrolled and no nursing courses offered at the off-campus instructional site, then the program must follow the substantive change process to reinstate the off-campus instructional site.

**Teach-Out Agreement:** A written agreement between governing organizations/nursing education units that provides for the equitable treatment of students and a reasonable opportunity for students to complete their nursing program of study if a governing organization/nursing education unit, or a nursing program location that provides 50% or more of a nursing program offered, ceases to operate before all enrolled students have completed their nursing program of study. This applies to the closure of a governing organization, an off-campus instructional site, a branch campus, or a nursing program. A teach-out agreement requires ACEN approval in advance of implementation. See ACEN Policy #16.
PROCEDURE 1 – PROSPECTUS

Review of Substantive Changes Requiring Approval Prior to Implementation

DIRECTIONS

1. One (1) paper copy and one (1) electronic copy (USB flash drive) of the Prospectus is to be sent to the ACEN no later than four (4) months prior to the planned implementation date.

2. Directions for Electronic Copies: The Prospectus must be in a single file/document. This one (1) file document should address all of the required components for the substantive change and any appendices in the sequence that they would appear in a paper copy. The preferred file formats are Portable Document Format (PDF) or Microsoft Word.

3. Nursing programs with questions regarding substantive changes should contact the Coordinator of Substantive Change for guidance.

REQUIRED INFORMATION

A. Baseline

1. The name of the governing organization and nursing education unit;
2. Current teaching locations for the nursing program and all nursing program options;
3. Current nursing program enrollment and any plans for changes in enrollment;
4. The name, credentials, and contact information of the nurse administrator;
5. The date the substantive change will become effective;
6. The rationale for the change;
7. Documentation of state regulatory agency for nursing approval, acceptance, or notification (if indicated);
8. Copies of notification sent to students and/or other constituents (as appropriate);
9. The nursing program’s licensure examination pass rates for the previous three (3) years;
10. Documentation of faculty involvement in decision-making;
11. Documentation of internal approval processes; and
12. Documentation of governing organization/institutional accrediting agency approval.
B. **Additional information specific to type of substantive change**

1. **Changing the ownership, legal status, and/or form of control of the governing organization**
   a. Legal documentation for transfer;
   b. Governing organization/institutional accrediting agency approval;
   c. Clarification of whether any changes occurred in the name of the governing organization or nursing education unit;
   d. Verification of notification to the USDOE; and
   e. Description of how the change in ownership affects compliance with each of the six (6) Accreditation Standards with particular attention to Standard 1, Standard 3, and Standard 5.

2. **Changing the mission or objectives of the governing organization (this does not include a revision/update of the mission statement)**
   a. Verification that the revised mission and/or objectives remain congruent with the mission or objectives of the nursing education unit; and
   b. Description of how the change in mission or objectives affects the program’s compliance with all six (6) Accreditation Standards.

3. **Significant change in the organizational structure of the nursing education unit that would place a nursing program in non-compliance with the ACEN Standards and Criteria**
   a. Letter must be on letterhead of the governing organization and signed by an upper-level administrator;
   b. Copies of revised and previous organizational charts inclusive of nursing education unit with effective date indicated; and
   c. Description of how the change in organizational structure affects the program’s compliance with all six (6) Accreditation Standards.

4. **Implementation of distance education – offering 50% to 100% of the credit hours or clock hours of a nursing program via distance education**
   a. Descriptions of courses to be offered by distance education;
   b. The percentage of the nursing courses and/or nursing program to be offered by distance education;
   c. The percentage of the nursing courses and/or nursing program currently offered by distance education;
   d. Documentation of faculty preparation and/or expertise in distance education pedagogy;
   e. Description of faculty-to-student and student-to-student interaction in distance education;
   f. Description of how the implementation of distance education impacts all six (6) Accreditation Standards with particular attention to Standard 2 (faculty preparation or expertise in distance education pedagogy); Standard 3 (change[s] in information to students); and Standard 5 (adequacy of learning resources).

5. **Changing the method of academic measurement (e.g., from clock hours to credit hours)**
   a. Comparison of old to new methods of academic measurement or change in the number of clock or credit hours;
   b. Method of calculation utilized to complete the conversion;
   c. Clear description of program length in credits/clock hours and academic terms;
   d. Description of transition and contingency plans;
e. Description of how the change in method of academic measurement or change in the number of clock or credit hours affects the program's compliance with all six (6) Accreditation Standards.

6. Adding courses or nursing programs of study at a degree or credential level different from that which is included in the nursing education unit's current accreditation
   a. Description of new nursing program to be offered;
   b. Enrollment projections and planning for the new nursing program;
   c. Description of how the addition of a new nursing program impacts all six (6) Accreditation Standards for the currently accredited nursing program(s) and students with particular attention to Standard 2 (including updated faculty profile identifying all shared and dedicated faculty) and Standard 5 (potential impact on available resources).
   d. Statement of intent to seek initial accreditation through the candidacy process for the new nursing program (this is not the candidacy presentation for the new nursing program; the candidacy presentation must be submitted separately).

7. Adding a nursing program option within a nursing program by deleting and/or substituting 25% or more of existing nursing courses to form the new nursing program option
   a. Description of new program option to be offered;
   b. Identification of program of study for new program option (including all prerequisites, courses, and credit allocations);
   c. Enrollment projections and planning for the new nursing program;
   d. Documentation of faculty preparation and/or expertise in distance education pedagogy, if applicable;
   e. Description of how the addition of a new nursing program option affects compliance with all six (6) Accreditation Standards for the currently accredited nursing program(s) and students.

8. An increase, decrease, or substitution of 25% or greater of the credit hours or clock hours required for completion of a nursing program from currently accepted/approved courses in a nursing program
   a. Comparison of previous to current nursing program length in credits/clock hours and academic terms;
   b. Comparison of previous and current nursing program of study (for change in length of nursing program);
   c. Description of how the change in nursing program length affects the program’s compliance with all six (6) Accreditation Standards.

9. Acquiring from another governing organization an accredited or non-accredited nursing program
   a. Legal documentation of the transaction;
   b. Clarification of whether any changes occurred in the name of the governing organization or the nursing education unit;
   c. Comparison of previous and current nursing program of study;
   d. Copies of previous and revised organizational charts inclusive of nursing education unit with effective date indicated;
   e. Description of how the change affects the program's compliance with all six (6) Accreditation Standards with particular attention to Standard 2 (updated faculty profile table) and Standard 5 (potential impact on available resources).
10. Acquiring from another governing organization an accredited or non-accredited nursing program
   a. Legal documentation of the transaction;
   b. Clarification of whether any changes occurred in the name of the governing organization or nursing education unit;
   c. Comparison of previous and current nursing program of study;
   d. Copies of revised and previous organizational charts inclusive of nursing education unit with effective date indicated;
   e. Verification that the nursing education unit continues to meet the ACEN definition of a single nursing education unit;
   f. Description of how the change affects the program’s compliance with all six (6) Accreditation Standards with particular attention to Standard 2 (updated faculty profile table) and Standard 5 (potential impact on available resources).

11. Acquiring from another governing organization an off-campus instructional site where an accredited or non-accredited nursing program is offered
   a. Legal documentation of the transaction;
   b. Clarification of whether any changes occurred in the name of the governing organization or the nursing education unit;
   c. Comparison of previous and current nursing program of study;
   d. Copies of revised and previous organizational charts inclusive of nursing education unit with effective date indicated;
   e. Verification that the nursing education unit continues to meet the ACEN definition of a single nursing education unit;
   f. Description of how the change affects the program’s compliance with all six (6) Accreditation Standards with particular attention to Standard 2 (updated faculty profile table) and Standard 5 (potential impact on available resources).

12. An increase in enrollment of 25% or greater by headcount in one (1) academic year for each nursing program offered
   a. Comparison of previous to projected nursing program enrollment by headcount;
   b. Enrollment projections and enrollment management plan;
   c. Description of how the change of enrollment (by headcount) impacts the program’s compliance with all six (6) Accreditation Standards with particular attention to Standard 2 (faculty workload); Standard 4 (clinical agency placement/utilization); and Standard 5 (adequate resources).

13. An increase in enrollment of 50% or greater by headcount in one (1) academic year for the governing organization if the ACEN is the Title IV gatekeeper for the nursing program
   a. Comparison of previous to projected nursing program enrollment by headcount;
   b. Enrollment projections and enrollment management plan;
   c. Documentation, if required, of governing organization and state regulatory agency for nursing approval; and
   d. Description of how the change of enrollment (by headcount) impacts the program’s compliance with all six (6) Accreditation Standards with particular attention to Standard 2 (faculty workload); Standard 3 (adequate student services); and Standard 5 (adequate resources).

14. Establishing a branch campus where an accredited or non-accredited nursing program is offered and if ACEN is the Title IV gatekeeper for the nursing program
a. Description of additional location, including address and ownership of property;
b. Photographs of the new space or additional location
c. Copies of revised and previous organizational charts inclusive of nursing education
   unit with effective date indicated;
d. Enrollment projections and enrollment management plan;
e. Description of how the change affects the program’s compliance with all six (6)
   Accreditation Standards with particular attention to Standard 2 (updated faculty
   profile table clarifying full- and part-time faculty at each location and verification of
   faculty workload), Standard 5 (adequate resources), and Standard 6 (systematic
   evaluation plan verifying aggregating and trending of data by location).

15. Establishing a new off-campus instructional site at which students can obtain 50% or
    more of the credit hours or clock hours of a nursing program
   a. Description of additional location, including address and ownership of property;
   b. Photographs of the new space or additional location;
   c. Copies of revised and previous organizational charts inclusive of nursing education
      unit with the effective date indicated;
   d. Enrollment projections and enrollment management plan;
   e. Description of how the change affects the program’s compliance with all six (6)
      Accreditation Standards with particular attention to Standard 2 (updated faculty
      profile table clarifying full- and part-time faculty at each location and verification of
      faculty workload), Standard 5 (adequate resources), and Standard 6 (systematic
      evaluation plan verifying aggregating and trending of data by location).

16. Entering into a contractual or consortia relationship with an entity to jointly offer all or
    part of a nursing program
   a. Identification of program of study for new program option (including all
      prerequisites, courses, and credit allocations);
   b. Enrollment projections and planning for the new nursing program;
   c. Clarification of all options for completion of the program of study available to the
      students entering the program;
   d. Clarification of degree-conferring institution;
   e. Description of how the change affects the program’s compliance with all six (6)
      Accreditation Standards with particular attention to Standard 6 (clarification of
      institution responsible for program outcomes);
   f. Signed copy of agreement.

17. Entering into a relationship under which an entity not certified to participate in Title IV,
    HEA programs offers 25% of one (1) or more of the nursing education’s unit’s nursing
    programs
   a. Identification of program of study for new program option (including all
      prerequisites, courses, and credit allocations);
   b. Enrollment projections and planning for the new nursing program;
   c. Clarification of all options for completion of the program of study available to
      students entering the program;
   d. Clarification of degree-conferring institution;
   e. Description of the relationship between the governing organization, nursing
      education unit, and the entity not certified to participate in Title IV, HEA programs.
   f. Description of the portion of the nursing program that the entity not certified to
      participate in Title IV, HEA funds will teach;
g. Description on how the governing organization and nursing education unit will ensure quality for the portion of the nursing program that the entity not certified to participate in Title IV, HEA funds will teach;

h. Description of how the change affects the program’s compliance with all six (6) Accreditation Standards with particular attention to Standard 6 (clarification of institution responsible for program outcomes);

i. Signed copy of agreement.

18. A change in approval status with the state regulatory agency for nursing; see ACEN Policy #17
   a. Copies of all official documentation of the approval status notification;
   b. Copies of all official documentation of the approval status resolution;
   c. Description of the nursing education unit’s response to the change in approval status;
   d. Description of how the change in accreditation status and/or action by the governing organization/institution’s accrediting agency affects the program’s compliance with all six (6) Accreditation Standards.

19. A negative or adverse action by the appropriate institutional accrediting agency; see ACEN Policy #18
   a. Copies of all official documentation regarding the negative or adverse status notification;
   b. Copies of all official documentation regarding the negative or adverse status resolution;
   c. Description of how the change in accreditation status and/or action by the governing organization/institution’s accrediting agency affects the program’s compliance with all six (6) Accreditation Standards.

20. A decline in the licensure or certification examination pass rates that places the nursing program below the three-year national mean for program type
   a. Copies of all reports required by and/or submitted to the state regulatory agency for nursing;
   b. Copies of correspondence with the state regulatory agency for nursing;
   c. Outcomes data for a minimum of the last three (3) years aggregated and trended by program option, location, and date of completion;
   d. A written plan for addressing the declining outcomes;
   e. A written plan for monitoring identified declining outcomes;
   f. Description of how the pattern of declining outcomes affects the program’s compliance with all six (6) Accreditation Standards.
21. A decline in the nursing program completion rate with regards to the expected level of achievement established by the nursing program
   a. Outcomes data for a minimum of last three (3) years aggregated and trended by program option, location, and date of completion;
   b. A written plan for addressing the declining outcomes;
   c. A written plan for monitoring identified declining outcomes;
   d. Description of how the pattern of declining outcomes affects the program’s compliance with all six (6) Accreditation Standards.

22. Change in Title IV status and clarification of whether ACEN is the Title IV gatekeeper for the nursing program
   a. Description of the governing organization’s response to the change in Title IV participant compliance;
   b. Copies of all official documentation of the notification of change in compliance status; and
   c. Copies of all official documents and decisions related to the resolution of the change in compliance status.
PROCEDURE 2 – LETTER OF NOTIFICATION

Review of Substantive Changes Requiring Notification Prior to Implementation

DIRECTIONS

1. One (1) paper copy and one (1) electronic copy (USB flash drive) of the Letter of Notification is to be sent to the ACEN prior to the implementation date.

2. Directions for Electronic Copies: The Letter of Notification must be in a single/file document. This one (1) file document should address all of the required components for the substantive change and any appendices in the order that they would appear in a paper copy. The preferred file formats are Portable Document Format (PDF) or Microsoft Word.

3. Nursing programs with questions regarding substantive changes should contact the Coordinator of Substantive Change for guidance.

REQUIRED INFORMATION

A. Baseline

1. The name of the governing organization and nursing education unit;
2. Current teaching locations for the nursing program and all nursing program options;
3. Current nursing program enrollment and any plans for changes in enrollment;
4. The name, credentials, and contact information of the nurse administrator;
5. The date the substantive change will become effective;
6. The rationale for the change;
7. Documentation of State Regulatory Agency for Nursing approval, acceptance, or notification (if indicated);
8. Copies of notification sent to students and/or other constituents (as appropriate); and
9. Verification that the systematic evaluation plan has been updated to reflect changes.

B. Additional information specific to type of substantive change

1. A change in nurse administrator
   a. Letter must be on letterhead of the governing organization and signed by an upper-level administrator;
   b. Include a copy of the new nurse administrator’s curriculum vitae reflecting current and appropriate academic and experiential qualifications to serve in the role of the nurse administrator; and
   c. Documentation of the governing organization/institution’s plan for orientation and/or mentoring process.

2. Implementation of distance education – offering 25% to 49% of the number of credit hours or the number of clock hours of a nursing program via distance education
a. Percentage by which the portion of the program offered via distance education will increase;
b. The percentage of the nursing courses and/or nursing program currently offered by distance education; and
c. Verification of approval from the institutional accrediting agency, if applicable.

3. Inactivating a nursing program
   a. Verification of plans for completion for students currently enrolled in the program;
   b. Date of reactivation and plans for continuation of compliance with all six (6) Accreditation Standards;
   c. Documentation of faculty involvement in decision-making;
   d. Documentation of internal approval processes; and
   e. Verification of approval from the institutional accrediting agency.

4. Reactivating a nursing program
   a. Description of any changes occurring in the program during deactivation of the program;
   b. Documentation of faculty involvement in decision-making;
   c. Documentation of internal approval processes;
   d. Updated faculty profile table; and
   e. Verification of approval from the institutional accrediting agency.

5. An increase in enrollment of 50% or greater by headcount in one (1) academic year for the governing organization and if the ACEN is not the Title IV gatekeeper for the nursing program
   a. Comparison of previous to projected nursing program enrollment by headcount; and
   b. Verification of approval from the institutional accrediting agency.

6. Establishing a branch campus where an accredited or non-accredited nursing program is offered if ACEN is not the Title IV gatekeeper for the nursing program
   a. Description of additional location, including address and ownership of property; and
   b. Verification of approval from the institutional accrediting agency.

7. Establishing a new off-campus instructional site where students can obtain 25% to 49% of the credit hours or clock hours of a nursing program
   a. Description of additional location, including address and ownership of property; and
   b. Verification of approval from the institutional accrediting agency.

8. Relocating a currently approved off-campus instructional site serving the same geographic region where a nursing program is offered if the ACEN is not the Title IV gatekeeper for nursing program
   a. Description of the additional location, including the address and ownership of property; and
   b. Verification of approval from the institutional accrediting agency.
9. Adding an off-campus instructional site at which the governing organization is conducting
a teach-out for students of another governing organization that has ceased operating
before all students enrolled in an accredited or non-accredited nursing program have
completed the nursing program of study
   a. Description of additional location, including address and ownership of property;
   b. Verification of approval from the institutional accrediting agency;
   c. Photographs of the new space or additional location;
   d. Copies of revised and previous organizational charts inclusive of the nursing
      education unit with effective date indicated;
   e. Enrollment projections and enrollment management plan;
   f. Formal teach-out plan and/or teach-out agreement;
   g. Description of the potential impact of the change on the program of study for
      the students at new location;
   h. Description of how the change affects the program’s compliance with all six (6)
      Accreditation Standard with particular attention to Standard 2 (updated faculty
      profile table clarifying full- and part-time faculty at each location and verification
      of faculty workload), Standard 5 (adequate resources), and Standard 6
      (systematic evaluation plan verifying aggregating and trending of data by location).

10. Inactivating an off-campus instructional site where a nursing program or nursing program
    option is offered
    a. Verification of plans for program completion for students currently enrolled in
       the program at this location;
    b. Date of reactivation and plans for continuation of compliance with all six (6)
       Accreditation Standards;
    c. Documentation of faculty involvement in decision-making;
    d. Documentation of internal approval processes; and
    e. Verification of approval from the institutional accrediting agency.

11. Reactivating an off-campus instructional site where a nursing program or nursing program
    option is offered
    a. Description of any changes occurring in the program during deactivation of
       location;
    b. Documentation of faculty involvement in decision-making;
    c. Documentation of internal approval processes;
    d. Updated faculty profile table; and
    e. Verification of approval from institutional accrediting agency.
PROCEDURE 3 - CLOSINGS

Review of Substantive Changes Related to

Closing a Nursing Program

Closing an Option within a Nursing Program

Closing an Approved Off-Campus Instructional Site or Branch Campus Where a Nursing Program is Offered

DIRECTIONS

A. See ACEN Policy #16 for information and directions related to closing a nursing program, closing an option within a nursing program, or closing an approved off-campus instructional site or branch campus where a nursing program is offered.

B. Nursing programs with questions regarding substantive changes should contact the Coordinator of Substantive Change for guidance.

Policy #14 History
Revised July 2015
POLICY #15
DISTANCE EDUCATION

While the definitions provided in Policy #15 may differ from those used by the governing organization of a nursing program, programs accredited by the ACEN must use the following definitions for ACEN-related matters.

GLOSSARY OF TERMS

**Asynchronous Learning:** Learning and instruction that do not occur in the same location or at the same time.

**Distance Education:** An educational process in which the majority of the instruction occurs when a student and instructor are not in the same place. Instruction may be synchronous or asynchronous. Distance education may employ audio, video, and/or computer technologies and includes technology that is used to support regular and substantive interactions between the instructor and the students.

**Hybrid/Blended Nursing Course:** The nursing course content is delivered both in person/in a classroom and via distance education; 1% to 49% of the traditional in-person/in-a-classroom time is replaced with work via distance education, typically delivered asynchronously. The component delivered via distance education includes learning activities that reduce the time traditionally spent in the in-person/classroom component.

**Hybrid/Blended Nursing Program:** The nursing program is delivered both in person/in a classroom and via distance education; 1% to 49% of the credit hours or clock hours of the nursing courses are delivered in person/in a classroom, and the remaining credit hours or clock hours are delivered via distance education, typically asynchronously. The distance education component includes learning activities that reduce the time spent in the in-person/classroom component.

**Online/Internet Nursing Course:** A form of distance education whereby 50% to 100% of the nursing course content is delivered primarily online and asynchronously.

**Online Nursing Program:** A form of distance education whereby 50% to 100% of the credit hours or 50% to 100% of the clock hours of the nursing courses are delivered primarily online and asynchronously.

**Synchronous Learning:** Learning and instruction that occur at the same time and in the same place.

See ACEN Policy #14 Reporting Substantive Changes for requirements related to reporting the implementation of distance education.

DISTANCE EDUCATION CRITICAL ELEMENTS:
1. Congruence with the mission of the governing organization.
2. Instructional design and delivery method of the course(s).
3. Preparation and competence of the faculty members teaching each course.
4. Quality and accessibility of the support services for students enrolled in each course.
5. Quality and accessibility of the support services for the faculty members teaching each course.
6. Accessibility, currency, and relevancy of learning resources available for the students enrolled in each course.
7. Currency and appropriateness of each course relative to the method of delivery.
8. Provision for regular and substantive faculty/student and student/student interaction in each course.
9. Ongoing evaluation of student learning in each course.
10. Provision for verification of student identity in each course.

**Verification of Student Identity**

Nursing programs offering any course via distance education must demonstrate that the governing organization:

1. Has processes in place to establish that the student who registers in each distance education course is the same student who participates in and completes the course or program and receives the academic credit. The governing organization may verify student identity through methods such as:
   a. assignment of a secure login and pass code,
   b. proctored examinations, and/or
   c. utilization of new or other technologies and practices that are effective in verifying student identity;
2. Uses processes that protect the privacy of the students enrolled in a distance education course; and
3. Notifies students of any projected additional fees associated with verification of student identity at the time of registration for or enrollment in a distance education course.

The following guidelines contain elements of the ACEN Standards and Criteria that faculty must consider in evaluating the use of distance education within each nursing course, nursing program option, or a nursing program.

The students enrolled in each nursing course, a nursing program option, or a nursing program must have:

1. Access to the range of appropriate student services to support their learning and academic success, including but not limited to admissions, financial aid, academic advising, delivery of course materials, placement, and counseling comparable to those support services available to the students enrolled in non-distance education courses/programs;
2. Knowledge and equipment necessary to use the technology employed and assistance when experiencing difficulty using the required technology;
3. A means for resolving complaints;
4. Information related to advertising, recruiting, and admissions that adequately and accurately represents the course(s)/program(s), admission and completion requirements, and services available;
5. Access to learning resources and guidance in effectively using the learning resources; and
6. Their use of learning resources monitored; and
7. Access to laboratory facilities, equipment, and other types of technology as appropriate to the courses or program(s).

Each nursing course, nursing program option, or nursing program provides:

1. Regular and substantive interaction between students and faculty and among the students;
2. Faculty responsibility for oversight, ensuring both the rigor and the quality of instruction;
3. Technology that is appropriate to the student learning outcomes, role-specific graduate competencies, program outcomes, and course objectives;
4. Currency and rigor of materials;
5. Clear policies concerning the ownership of materials, faculty compensation, copyright issues, and the utilization of revenue derived from the creation of intellectual property;
6. Faculty support services specifically related to distance education; and
7. Faculty development for faculty who teach in distance education modes of delivery.

Fiscal and physical provisions are made for long-range planning, budgeting, and policy development processes that reflect the facilities, staffing, equipment, and other resources essential to the viability and effectiveness of each nursing course, nursing program option, or nursing program.

Ongoing systematic evaluation is conducted to assess:

1. Student capability to succeed in each nursing course, a nursing program option, or a nursing program, and used in the review and revision of policies and procedures;
2. Achievement of student learning outcomes, role-specific graduate competencies, and program outcomes, with a comparison made to campus-based programs; and
3. The integrity of student work and credibility of the degree and credits awarded.

Policy #15 History
Revised November 2015

POLICY #16
PROGRAM CLOSING

CLOSING A NURSING PROGRAM, OPTION WITHIN A NURSING PROGRAM, OFF-CAMPUS INSTRUCTIONAL SITE, OR BRANCH CAMPUS WHERE A NURSING PROGRAM IS OFFERED

When an institution makes the decision to close a nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered, the institution/nursing program must make a good faith effort to assist affected students so they experience minimal disruption in the pursuit of their program of study. In all cases, students should be notified of the decision as soon as possible so they can make appropriate plans. Students who have not completed their program of study should be advised by faculty or professional counselors regarding suitable options, including transfer to comparable programs.

When an institution makes the decision to close a nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered, a
Closing Report that fully describes the plan for closing must be submitted to the ACEN. The Closing Report must include a teach-out agreement and/or teach-out plan.

1. **A teach-out agreement** is a written agreement between institutions that provides for the equitable treatment of students and a reasonable opportunity for students to complete their program of study if an institution or an institutional location that provides 100% of at least one (1) program offered ceases to operate before all enrolled students have completed their program of study. A teach-out agreement requires approval from the ACEN prior to implementation of the agreement.

2. **A teach-out plan** is a written plan developed by an institution that provides for the equitable treatment of students if an institution or an institutional location that provides 100% of the program of study ceases to operate before all students have completed their program of study. A teach-out plan requires approval from the ACEN prior to implementation of the plan.

The institution closing the nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered may fulfill its educational commitment to currently enrolled students through either:

1. A teach-out agreement with another institution(s); or

2. A teach-out plan whereby the institution educates its currently enrolled students until all students graduate from the nursing program, option within a nursing program, off-campus instructional site, or branch campus that is closing.

Arrangements for the teach-out agreement or teach-out plan must be consistent with the requirements of ACEN Policy #14 Reporting Substantive Change.

The ACEN may require an institution/nursing program that it accredits to enter into a teach-out agreement as part of its teach-out plan.

The ACEN must require an institution/nursing program that it accredits that enters into a teach-out agreement, either on its own or at the request of the agency, with another institution to submit that teach-out agreement for approval.

If an institution/nursing program closes without a teach-out plan or agreement, ACEN must work with the U.S. Department of Education and the appropriate state agency, to the extent feasible, to assist students in finding reasonable opportunities to complete their education without additional charges.

When the ACEN serves as the Title IV gatekeeper for a nursing program, a teach-out agreement is required upon the occurrence of the following events:

1. The U.S. Department of Education Secretary of Education notifies the ACEN that the Secretary has initiated an emergency action against an institution, in accordance with section 487(c)(1)(G) of the HEA, or an action to limit, suspend, or terminate an institution participating in any title IV, HEA program, in accordance with section 487(c)(1)(F) of the HEA.

2. The ACEN acts to withdraw, terminate, or suspend the accreditation of the program.
3. The institution notifies the ACEN that it intends to cease operations entirely or close a location that provides 100% of the nursing program.

4. A State licensing or authorizing agency notifies the ACEN that an institution’s license or legal authorization to provide the program has been or will be revoked.

When the ACEN does not serve as the Title IV gatekeeper for a nursing program, a teach-out agreement and/or teach-out plan is required upon the occurrence of the following events:

1. The ACEN acts to withdraw, terminate, or suspend the accreditation of the program;

2. The institution notifies the ACEN that it intends to cease operations entirely or close a location that provides 100% of the nursing program;

3. A state licensing or authorizing agency notifies the ACEN that an institution’s license or legal authorization to provide the nursing program has been or will be revoked.

Based on the information provided in the Closing Report, the accreditation status of the nursing program, and the date of the next scheduled accreditation visit, the Commission will take one (1) of the following actions:

1. When the program is accredited without qualifications, the Commission will:
   a. cancel the next visit and continue accreditation until closing; or
   b. reaffirm the originally scheduled visit.

2. When the current status of the program is continuing accreditation with conditions or warning, the Commission will:
   a. extend the conditions or warning status if the program is scheduled to close within 12 months after the scheduled visit; or
   b. determine the date of a next visit if the program is scheduled to close beyond a year after the scheduled visit.

3. If a program planning to close fails to submit a Closing Report to the ACEN or comply with Commission request(s), such action will constitute a declaration to have accreditation status withdrawn, and the Commission will take action accordingly.

4. If a program previously scheduled to close extends its operation beyond the original closing date, a site visit will be scheduled at the earliest possible date. The procedure to be followed will depend on the program’s status at the time the announcements about the closing and the change of plans were made.

5. If a program closes in the interim between Commission meetings and without prior notice to the ACEN, the closing automatically terminates ACEN accreditation.
REQUIRED INFORMATION IN THE CLOSING REPORT

C. Baseline for all types of closings

1. Name and address of governing organization

2. Name, credentials, and title of the chief executive officer of governing organization

3. Name of governing organization’s accrediting body and date of last review and action

4. Name and address of nursing education unit

5. Name, credentials, title, telephone number, fax number, and e-mail address of the administrator of the nursing education unit

6. Date of most recent ACEN accreditation visit and action taken

7. Name of state regulatory agency for nursing and date of last review and action

8. Documentation of state regulatory agency for nursing approval, acceptance, or notification, if required

9. Copies of notification sent to students and/or other constituents

10. Documentation of faculty involvement in decision-making

11. Documentation of internal approval processes

12. Documentation of governing organization/institutional accrediting agency approval

13. Teach-out plan and/or signed copy of teach-out agreement

D. Additional information specific to type of substantive change

1. Closing a program
   
   a. Nursing program type that is closing and rationale for closing
   
   b. Effective date that the nursing program will no longer have students enrolled
   
   c. Total number of full-time and part-time students currently enrolled in the nursing program that is closing
   
   d. A completed faculty profile table inclusive of all full-time and part-time faculty teaching in the nursing program that is closing
   
   e. All nursing program type(s) and nursing program option(s) that will remain open, if applicable
   
   f. Total number of full-time and part-time students in all nursing program type(s) and nursing program option(s) that will remain open, if applicable
2. Closing a program option
   a. Name of nursing program option that is closing and rationale for closing
   b. Effective date that the nursing program option will no longer have students enrolled
   c. Total number of full-time and part-time students currently enrolled in nursing program option that is closing
   d. All nursing program type(s) and nursing program option(s) that will remain open, if applicable
   e. Enrollment in all nursing program type(s) and nursing program option(s) that will remain open, if applicable

3. Closing an off-campus instructional site or branch campus
   a. Name and address of the off-campus instructional site or branch campus that is closing and rationale for closing
   b. Effective date that the off-campus instructional site or branch campus where a nursing program/program option is offered will no longer have students enrolled
   c. Name of nursing program/nursing program option that is closing as a result of the closing of the off-campus instructional site or branch campus
   d. Total number of full-time and part-time students currently enrolled in the nursing program and/or program option at off-campus instructional site or branch campus that is closing

E. Teach-out Agreement

The ACEN may approve the teach-out agreement only if the agreement:

1. Includes a description and timetable demonstrating how all current nursing students will have the opportunity to successfully complete their nursing education and ensures that all current nursing students have the opportunity to successfully complete their nursing education within a specified timeframe.

2. Ensures that students are no longer being admitted into the nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered.

3. Is consistent with the program-specific Accreditation Standards and Criteria.

4. Is between institutions that are accredited by a nationally recognized accrediting agency.

5. Provides for the equitable treatment of students, specifies any additional charges, and provides for notification to the students regarding any additional charges.
6. Ensures/demonstrates that the teach-out institution has the necessary experience, resources, and support services to:

   a. provide a program of study that is of acceptable quality and reasonably similar in content, structure, and scheduling to that provided by the program of study that is closing

   b. remain stable, carry out its mission, and meet all obligations to students currently enrolled in the program of study that is closing

   c. provide students currently enrolled in the nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered that is closing access to their program of study and academic/student support services without requiring them to move or travel substantial distances and

   d. provide students with information about additional charges, if any

7. Specifies appropriate plans for the maintenance of the records pertinent to the nursing program and each graduate such as student files, notification of graduates, provision for security and confidentiality, and processing of requests for information.

If the ACEN approves a teach-out agreement that includes a program of study that is accredited by another recognized accrediting agency, the ACEN must notify that accrediting agency of its approval.

**F. Teach-out Plan**

The ACEN may approve the teach-out plan only if the plan:

1. Includes a description and timetable demonstrating how all current nursing students will have the opportunity to successfully complete their nursing education and ensures that all current nursing students have the opportunity to successfully complete their nursing education within a specified timeframe.

2. Ensures that students are no longer being admitted into the nursing program, option within a nursing program, off-campus instructional site, or branch campus where a nursing program is offered.

3. Is consistent with the program-specific Accreditation Standards and Criteria.

4. Demonstrates that there will be an adequate number of appropriately qualified faculty to carry out instructional activities in the program of study until all students graduate.

5. Demonstrates that there will be a continuation of essential academic and student support services (e.g., learning center, counseling, financial aid, health, and housing) to facilitate the students’ success in the program of study until all students graduate.

6. Specifies appropriate plans for the maintenance of the records pertinent to the nursing program and each graduate such as student files, notification of graduates, provision for security and confidentiality, and processing of requests for information.
If the ACEN approves a teach-out plan that includes a program of study that is accredited by another recognized accrediting agency, the ACEN must notify that accrediting agency of its approval.

**FORMATTING AND SUBMITTING THE CLOSING REPORT**

**Format**

1. The number of text pages should not exceed 50 pages.

2. The appendix has no page limit.

3. Confidential records (e.g., faculty transcripts, student records) should not be included.

**Submission**

1. Two (2) copies (one [1] paper and one [1] electronic) of the Closing Report are to be sent to the ACEN within 30 days of the decision by the appropriate governing organization to close the nursing program.

2. Directions for electronic copies: The Closing Report must be saved in a single file/document and submitted on a USB flash drive. The electronic document should address all of the required components for the substantive change and any appendices in the order that they would appear in a paper copy. The preferred file formats are Portable Document Format (PDF) or Microsoft Word.

3. In addition to the submission of the Closing Report, a letter confirming the program’s final closure is to be submitted to the ACEN within 10 days of the actual closing date.

**POLICY #17**

**STATE REGULATORY AGENCY FOR NURSING APPROVAL**

If a nursing program has a change in its approval status with the state regulatory agency for nursing, the administrator of the program shall immediately submit to the ACEN a report explaining the reasons for the decision, a copy of the letter received from the state regulatory agency for nursing, and a report of plans to correct the situation. The Commission will determine appropriate follow-up actions after review of the submitted materials. The accreditation status of the nursing program may be changed. See ACEN Policy #19.
POLICY #18
ACCREDITATION STATUS OF THE GOVERNING ORGANIZATION

The governing organization offering an ACEN-accredited nursing program must be accredited by an appropriate agency. If the institutional accrediting agency revokes its accreditation of the governing organization, the nurse administrator shall immediately submit to the ACEN a report explaining the reasons for the decision, the effect of the decision on the program, and plans made by the governing organization to become fully reinstated. The Commission will determine appropriate follow-up actions following review of the submitted materials. See ACEN Policy #19.

Policy #18 History
Revised July 2015

POLICY #19
FOCUSED VISIT

The Commission may determine a focused visit is warranted in order to review significant accreditation-related information disclosed about a program as a result of:

1. A substantive change;
2. Information revealed about a program between periods of scheduled review;
3. Information received from the governing organization’s accrediting body related to an adverse action;
4. Information received from the program’s state regulatory agency for nursing related to a change in its status;
5. Information revealed by a program during the Evaluation Review Panel process;
6. Information received from the U.S. Department of Education regarding a program’s compliance responsibilities under Title IV of the Higher Education Act such as information related to a program’s most recent student loan default rates, the results of financial or compliance audits, program reviews, and any other information that may be provided by the U.S. Department of Education.

The Accreditation Standard(s) pertinent to the reason for the focused visit will be reviewed. Professional staff will determine the Accreditation Standard(s) and Criteria to be reviewed. The nursing program must prepare a Focused Visit Report based on the Accreditation Standard(s) and Criteria identified and submit the Report to the site visitors and ACEN six (6) weeks before the site visit; the Focused Visit Report is the foundation for review of compliance with the identified Accreditation Standard(s) and Criteria. Guidelines for creating the Focused Visit Report are available on the ACEN website. The focused visit is typically one (1) to two (2) day(s) in length. The ACEN reserves the right to have a professional staff member accompany site visitors.
as an observer on the focused visit to offer guidance. The nursing program is responsible for all costs of the visit.

Following the focused visit, the Focused Site Visit Report will be reviewed by the Board of Commissioners at a regularly scheduled meeting. Based on the Focused Site Visit Report, the Board of Commissioners may take the following actions:

1. Affirm the program’s accreditation status and date of the next scheduled visit; no further information is requested.

2. Change the date of the program’s next scheduled visit to a date earlier than previously scheduled. This is not an appealable action.

3. Change the program’s accreditation status to one (1) of the following statuses.
   
   a. Continuing accreditation with conditions when the program is found to be in non-compliance with one (1) or two (2) Accreditation Standards; Follow-Up Report required; site visit may or may not be required. This is not an appealable action.
   
   b. Continuing accreditation with warning when the program is found to be in non-compliance with three (3) or more Accreditation Standards; Follow-Up Report required; site visit required. This is not an appealable action.
   
   c. Continuing accreditation with warning for good cause when a program is found to be in non-compliance with any Accreditation Standards, is at the conclusion of its maximum monitoring period, and the program can make its case for good cause; Follow-Up Report required; site visit required. This is not an appealable action. See ACEN Policy #4.

4. Deny continuing accreditation and remove the program from the listings of accredited programs. This is an appealable action. See ACEN Policy #10.

The maximum period for the status of continuing accreditation with conditions or continuing accreditation with warning for master’s, baccalaureate, associate, and diploma programs to be monitored is two (2) years from the Commission’s determination of this accreditation status. The maximum period for the status of continuing accreditation with conditions or continuing accreditation with warning for practical nursing programs is 18 months from the Board of Commissioner’s original action.

The maximum period for the status of warning for good cause for master’s, baccalaureate, associate, and diploma programs to be monitored is two (2) years from the Commission’s determination of this accreditation status. The maximum period for the status of warning for good cause for practical nursing programs is 18 months from the Board of Commissioner’s original action.

Policy #19 History
Revised July 2015
POLICY #20
COMPLAINTS AGAINST AN ACCREDITED PROGRAM

The ACEN will only act upon substantiated complaints against an accredited program that indicate:

1. A governing organization’s and/or nursing program’s non-compliance with the ACEN Standards and Criteria;

2. A governing organization’s and/or nursing program’s non-compliance with ACEN policy; and/or

3. A governing organization’s and/or nursing program’s non-compliance with its own published policy as said policy relates to the ACEN Standards and Criteria.

The ACEN will not interpose itself as a reviewing authority in individual matters such as, but not limited to, admission; grades; granting or transferability of credits; application of academic policies; fees or other financial matters; disciplinary matters; contractual rights and obligations; personnel decisions; or similar matters. The ACEN will also not seek any type of compensation, damages, re-admission, or other redress on an individual’s behalf. The ACEN will not respond to or take action upon any complaint that is defamatory, hostile, or profane. In addition, the ACEN will not involve itself in collective bargaining disputes. The ACEN does not accept complaints about individuals.

In addition, the ACEN may act upon concerns from a recognized state or federal agency.

An individual interested in submitting information regarding a nursing program to be considered during an upcoming initial or continuing accreditation review should follow ACEN Policy #8 Opportunities for Third-Party Comments on Programs Scheduled for Evaluation. The ACEN reserves the right to review and act upon incoming complaints or third-party comments under either ACEN Policy #8 or Policy #20 as appropriate to the circumstance.

Records of individual complaints will be maintained by the ACEN for eight (8) years. If a number of individual complaints suggest a pattern of concern not evident from any single complaint, the ACEN may renew its consideration of a matter for whatever action may be appropriate.

The ACEN expects an individual to attempt to resolve an issue through the governing organization’s and/or nursing program’s own published grievance policy/procedures (if applicable) before submitting a complaint to the ACEN. Therefore, an individual must use all available grievance and means of appeal within the governing organization and/or nursing education unit before filing a complaint with the ACEN. The ACEN will not consider a complaint while institutional proceedings or litigation appertaining thereto are ongoing. However, if the ACEN determines that the complainant raises issues so immediate that a delay may jeopardize the nursing program’s accreditation status or cause harm to nursing students, the ACEN may, at its discretion, choose to proceed with its review.

The ACEN will not review or act upon a complaint to which the governing organization’s and/or nursing program’s published grievance policy/procedures apply if it is formally filed with the ACEN more than one (1) year after the completion of the policy/procedures. The ACEN will not review or act upon a complaint to which such policies/procedures do not apply if it is formally filed with the ACEN more than one (1) year after the circumstances leading to the complaint.
SUBMITTING A COMPLAINT

1. The complaint must be presented to the ACEN as a written, signed, and dated statement with supporting documentation. The ACEN will not review or act upon complaints that are not presented in writing.

2. All complaints must be submitted using the ACEN’s official Complaint Form, which must be completed in its entirety. The ACEN’s official Complaint Form is available at www.acenursing.com/forms/ACENComplaintForm.docx. Complaints submitted without the official Complaint Form, completed in its entirety, will not be reviewed or acted upon.

3. The completed Complaint Form and the required supporting documentation must be submitted to the ACEN via the United States Postal Service or an express carrier (e.g., UPS, FedEx, DHL, etc.).

4. The completed Complaint Form and the required supporting documentation may be submitted to the ACEN in paper format or using a USB storage device.

5. The ACEN will not review or act upon anonymous complaints, nor will it review or act upon complaints submitted by an individual or agency on behalf of another individual. For example, the ACEN will not review or act upon a complaint from a parent, spouse, child, sibling, co-worker, or friend of a complainant, or from an attorney.

6. The ACEN must be the original intended recipient of the complaint and will not review or act upon complaints that are forwarded to the ACEN.

7. The ACEN will not accept or discuss complaints via telephone.

8. All written materials, including the submitted Complaint Form, the required supporting documentation, and any other printed materials, must be presented in English.

Each governing organization and nursing education unit is required to have in place written student complaint policies and procedures that are reasonable, fairly administered, and well publicized. The ACEN also requires, in accordance with federal regulations, that each governing organization and nursing education unit maintain a record of complaints received. This record must be available to the ACEN upon request. The record(s) for the nursing program will be examined by the ACEN as part of the program’s initial or continuing accreditation review.

ACEN PROCEDURE FOR PROCESSING COMPLAINTS

1. The ACEN will acknowledge a complaint within 15 business days of its receipt.

2. Within 60 business days after acknowledging receipt of the complaint, the ACEN staff will review the complaint and determine whether:
   a. it is related to the program’s accreditation status,
   b. it is within the scope of ACEN policy, and/or
   c. it demonstrates the governing organization’s and/or nursing program’s non-compliance with:
      i. the ACEN Standards and Criteria,
      ii. an ACEN policy, and/or
iii. the governing organization’s and/or nursing program’s own published policy as said policy relates to the ACEN Standards and Criteria, and
d. there is adequate evidence in support of the allegations made in the complaint.

3. If the complaint does not have sufficient substance to warrant further review, the ACEN will communicate this to the complainant, in writing, within 15 business days of reaching this conclusion.

4. If the complaint has sufficient substance to warrant further review, the ACEN will make every effort to expedite its review; however, the time required to conduct its review may vary considerably depending on the circumstances and nature of the complaint.

5. When a complaint is reviewed further, a copy of the complaint will be forwarded to the nurse administrator of the nursing program, who will be asked to respond to the ACEN within 20 business days. Upon receipt of a response from the nurse administrator, the ACEN reserves the right to request additional materials, as needed, from the complainant and/or nurse administrator.

6. If there is insufficient evidence of non-compliance, the complaint will not be processed further. The decision of the ACEN Chief Executive Officer is final, and the complainant and nurse administrator will be notified of this outcome.

7. If there appears to be sufficient evidence of non-compliance or if the ACEN staff are unable to determine compliance, the following actions may be taken by the ACEN Chief Executive Officer (the complainant and nurse administrator will be notified of this outcome):
   a. The complaint may be forwarded directly to the ACEN Board of Commissioners for review and action.
   b. A Focused Visit to evaluate the governing organization/nursing program may be authorized. The Focused Visit Team will examine documents and interview institutional personnel to analyze and make a judgment regarding compliance, after which they will prepare a Focused Site Visit Report. This Report will be forwarded to the ACEN Board of Commissioners for review and action at the next meeting of the Board. Following that meeting, the complainant and nurse administrator will be notified of the Board’s decision.
   c. The complaint allegations may be reviewed as part of an upcoming scheduled visit to the nursing program. The site visitors will examine documents and interview institutional personnel to analyze and make a judgment regarding compliance, after which they will prepare a Site Visit Report. This Report will be forwarded to the ACEN Board of Commissioners for review and action at the next meeting of the Board. Following that meeting, the complainant and nurse administrator will be notified of the decision of the Board.

For items 7a, 7b, or 7c above, the decision of the ACEN Board of Commissioners is final unless appealable as delineated in ACEN Policy #10 Appeal Process and Submission and Review of New Financial Information Subsequent to Adverse Action.
POLICY #21
COMPLAINTS AGAINST THE ACCREDITATION COMMISSION FOR EDUCATION IN NURSING

The Executive Committee of the Accreditation Commission for Education in Nursing receives complaints made against the ACEN staff, Commissioners, appeal panels, or program evaluators with respect to monitoring of a program’s compliance with ACEN Standards and Criteria or adherence to accreditation procedures.

When such a complaint is received, the Executive Committee, operating under the Conflict of Interest Policy, appoints a special committee to investigate the complaint in a timely, fair, and equitable manner. Commissioners shall not participate in any capacity on the special committee.

<table>
<thead>
<tr>
<th>PROCEDURE</th>
<th>TIMELINE</th>
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<tbody>
<tr>
<td>The complaint is presented to the ACEN as a written, signed, and dated statement.</td>
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<tr>
<td>All written complaints received regarding monitoring of a program’s compliance with ACEN Standards and Criteria or adherence to accreditation procedures shall be forwarded to the Chair of the Commission.</td>
<td>Within 10 days of receipt</td>
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<tr>
<td>The Chair will review the complaint and may request, as necessary, additional information from the complainant or the ACEN staff.</td>
<td>Within 10 days of the Chair’s receipt</td>
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<td>The Chair will appoint a special committee of three persons composed of:</td>
<td>Within 14 days of the Chair’s receipt and following the 10-day review period</td>
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<tr>
<td>• One (1) representative from nursing education;</td>
<td></td>
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<tr>
<td>• One (1) representative from nursing service; and</td>
<td></td>
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<tr>
<td>• One (1) public member.</td>
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<tr>
<td>The special committee presents its findings to the Commission for action.</td>
<td>At the next scheduled meeting</td>
</tr>
<tr>
<td>The special committee presentation is to be referred to a subsequent Commission meeting if the next scheduled meeting does not allow a 30-day review period by the special committee.</td>
<td>The Board of Commissioners can (a) affirm that policies and procedures have been applied appropriately and/or (b) recommend changes to be made.</td>
</tr>
<tr>
<td>The complainant will be notified of action taken by the Commission.</td>
<td>Within 30 days of the Commission meeting</td>
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Policy #21 History
Revised April 2014
POLICY #22
PROGRAM ACCREDITATION STATUS IN RELATION TO STATE AND OTHER ACCREDITING AGENCY ACTIONS

1. The ACEN accredits only those nursing programs in institutions that are legally authorized under applicable state law to provide a program of education beyond the secondary level and have institutional accreditation.

2. The ACEN does not grant initial accreditation status to a nursing program when the governing organization in which the program resides:
   a. has been denied accreditation, been placed on probationary status or equivalent, or had its accreditation revoked by a recognized accrediting agency.
   b. has had its legal authority to provide postsecondary education suspended, revoked, or terminated by a state agency.

3. The ACEN may not grant continuing accreditation status to a nursing program when the governing organization is:
   a. subject to an adverse action by a recognized institutional accrediting agency that may result in the suspension, revocation, or termination of its accreditation.
   b. subject to an adverse action by a state agency that may result in the suspension, revocation, or termination of the governing organization’s legal authority to provide postsecondary education.
   c. threatened by loss of accreditation, and due process procedures required by the action have not been completed.
   d. threatened by suspension, revocation, or termination by a state agency of the governing organization’s legal authority to provide postsecondary education, and due process procedures required by the action have not been completed.

4. When a nursing program is being reviewed for continuing accreditation during a period when the governing organization is subject to an adverse action by an institutional accrediting agency or state agency, the Board of Commissioners will determine what action should be taken.

5. The ACEN may not grant initial or continuing accreditation status to a nursing program during a period in which the nursing education unit:
   a. is the subject of an adverse action by a state agency that may result in the suspension, revocation, or termination of approval.
   b. has been notified of a threatened loss of approval, and due process procedures required by the action have not been completed.
c. has been denied approval, placed on public probationary status or equivalent, or had its approval revoked by a state agency.

d. had its legal authority to provide nursing education suspended, revoked, or terminated by a state agency.

6. When a nursing program is being reviewed for initial or continuing accreditation status during a period when the nursing education unit is subject to an adverse action by a state agency, the Board of Commissioners will determine what action should be taken. See ACEN Policy #19.

7. If the ACEN grants initial or continuing accreditation to a nursing program notwithstanding the actions of a recognized institutional accrediting agency or a state agency, the ACEN will provide within 30 days of the decision an explanation to the Secretary of Education, U.S. Department of Education as to why it granted accreditation and why the action of the other agency does not preclude the ACEN’s granting of accreditation.

8. If the ACEN is notified that the governing organization of an ACEN-accredited nursing program has received an adverse action or been placed on probationary status or equivalent by a recognized institutional accrediting agency or state agency, the ACEN will promptly review the program to determine what action should be taken. See ACEN Policy #19.

9. If the ACEN is notified that an ACEN-accredited nursing program has received an adverse action or been placed on probationary status or equivalent by a state agency, the ACEN will promptly review the program to determine what action should be taken. See ACEN Policy #19.

Policy #23 History
Revised July 2015

POLICY #23
PUBLIC NOTICE OF PROPOSED NEW OR REVISED STANDARDS AND CRITERIA

The ACEN Accreditation Standards and Criteria are developed, reviewed, and revised periodically by means of procedures that involve continuous input from accredited schools (by program type) and identified communities of interest. The ACEN ensures the circulation of proposed revisions to the Accreditation Standards and Criteria and the opportunity for comment from interested parties.

Policy #23 History
Revised April 2014
POLICY #24
ASSESSMENT OF THE ADEQUACY OF STANDARDS AND CRITERIA, ACEN PROCESS, AND PRACTICES

The ACEN maintains an ongoing systematic review designed to ensure that (1) the Standards and Criteria are valid and reliable indicators of the education quality provided by accredited programs and are relevant to the educational needs of students; (2) ACEN processes are reliable and assess knowledge and consistency of observations, applications, decisions, and perceptions; and (3) there are broad communications and consultations across constituencies.

The findings from the ongoing review are used for development, maintenance, and revision of the ACEN Accreditation Standards and Criteria, processes, and practices. Evidence to support ongoing systematic review appears in Commission minutes, annual reports, the ACEN Report to Constituents, on the ACEN website, and at the ACEN Forums.

THE PROCESS OF REVIEW
The process of review:
- Is comprehensive;
- Occurs at regular intervals;
- Examines each Standard and its accompanying Criteria as a whole;
- Involves all relevant constituencies in the review;
- Affords relevant constituencies a meaningful opportunity to provide input into the review; and
- Requires that needed changes be made promptly to improve the ACEN’s effectiveness and efficiency and the consumer friendliness of ACEN products and services.

ASPECTS OF THE REVIEW
A full review of the ACEN Accreditation Standards and Criteria occurs every five (5) years. The process consists of:
- Review of ongoing data analysis;
- Review of literature for trends in evaluation, nursing practice, and nursing education;
- Review of U.S. Department of Education Regulations;
- Distribution of draft versions to constituencies for comment;
- Review of comments and revision of drafts;
- Distribution of the revised drafts for comment;
- Review of comments and revision of drafts as needed; and
- Commission adoption of revised Standards and Criteria.
RELIABILITY OF ACEN PROCESSES

Reliability is ensured by:

- Analysis of internal consistency and reliability of the accreditation status recommendations/decisions across the three (3) levels of review by and among all program types per accreditation review cycle and trended over time;
- Identification of strengths and areas needing development by Criterion across the three (3) levels of review by and among all program types per accreditation review cycle and trended over time; and
- Analysis of the perceived effectiveness of the planning and conduct of the accreditation site visit by the nursing program and the site visit teams by program type per accreditation review cycle and trended over time.

COMMUNICATION AND BROAD CONSULTATION PRACTICES

Communication is ensured by:

- Solicitation of comments on proposed new or revised policies from all interested parties;
- Distribution of the Annual Report findings in the Report to Constituents and on the ACEN website as aggregated data trended over time; and
- Broad consultation across constituencies.

PLANNED USE OF DATA ANALYSIS

Data analysis is used to:

- Maintain validity and relevance of the ACEN Standards and Criteria;
- Maintain reliability of the ACEN accreditation processes and practices;
- Continue to identify and disseminate information in appropriate arenas regarding specific education needs of programs and program evaluators as groups;
- Continue to identify and disseminate information in appropriate arenas regarding specific developmental needs of individual programs and program evaluators; and
- Continue to identify and disseminate information in appropriate arenas regarding areas in which change needs to be facilitated.

EVALUATION OF THE SITE VISIT

For each site visit, the nursing program, team chair, and team members have an evaluation form to complete. The information is used to:

- Improve the quality of the accreditation process; and
- Identify potential team chairs.
ANNUAL REPORT

All accredited programs are required to submit an Annual Report.

The Annual Report will request, at a minimum, the following information:

- Enrollment figures;
- Graduation figures;
- Faculty numbers and credentials;
- Substantive change information;
- Complaints against the program;
- Job placement rates; and
- Licensure and certification examination pass rates

The ACEN staff will review the information to ensure that programs continue to comply with policies and reporting requirements. Significant changes will be referred to the ACEN Board of Commissioners and action may be taken as appropriate. Failure to submit the Annual Report could result in the ACEN Board of Commissioners taking appropriate action, including potentially altering the program’s accreditation status.

Data will be compiled by the individual programs for monitoring purposes and reported in aggregate form, trended over time.

Programs with Title IV-HEA responsibilities must also submit information regarding compliance with their Title IV responsibilities and the result of financial or compliance audits.

Policy #24 History
Revised November 2015

POLICY #25
TRANSFER OF CREDIT

This policy applies to programs for which ACEN is the Title IV gatekeeper.

At its discretion, a governing organization or program may accept transfer credit for a course or courses completed in other postsecondary governing organizations when comparable in scope and content to the governing organization or program’s own coursework. The acceptance of credit for transfer is primarily based on the competencies achieved by the student in previously completed coursework and whether the competencies reasonably align with the coursework and the program into which the credit is to be transferred.

Accreditation of the governing organization or program from which the student is seeking to transfer credits may be a consideration for credit transfer decisions; however, accreditation of the governing organization or program from which the student is seeking to transfer credits may
not be the sole basis for accepting or denying credit for transfer, nor should it be represented as a requirement of this accrediting body.

In evaluating credit earned by students for transfer, a governing organization must adhere to the following:

- The governing organization has established appropriate criteria for the acceptance of transfer credits, including, at a minimum, the currency, comparability, relevancy to degree/program, calculation of credit (i.e., clock hours to semester or quarter hours), and grade earned for the course or courses to be transferred.
- The governing organization must apply a systematic, consistent process for determining whether to accept credit earned at other governing organizations.
- The governing organization must document in the permanent student record the basis on which the transfer credit was accepted and identification of the governing organization from which the credit was transferred.
- The governing organization must publicly disclose a list of the institutions with which the governing organization has established articulation agreements.

The governing organization must publish its transfer-of-credit policy, including clear communication of the criteria and process for evaluating and accepting credit for transfer earned at other governing organizations in all documents (paper and electronic) that serve to inform the public.

Policy #25 History
Revised April 2014

POLICY #26
PROFESSIONAL STAFF RELATIONSHIP TO THE BOARD OF COMMISSIONERS AND EVALUATION REVIEW PANEL

Professional staff members of the Accreditation Commission for Education in Nursing (ACEN) are expected to advise and inform the Evaluation Review Panel and Board of Commissioners on matters relative to a nursing program. They should offer advice or provide information at their own initiative or at the request of the Evaluation Review Panel and Board of Commissioners. Such advice and information do not supplant the peer review process, but rather provide additional insight in reaching an informed judgment.

PROCEDURAL GUIDELINES

1. In order to maintain the strength and consistency of the process, professional staff members provide information and advice as appropriate when assisting the Evaluation Review Panel in making a recommendation on the accreditation status of a nursing program and Board of Commissioners in making a decision on the accreditation status of a program. Particularly germane is historical information on similarly situated nursing programs and procedural and substantive advice on how the ACEN policies and Accreditation Standards and Criteria have been interpreted and could be applied to a program's case, including possible action and follow-up. This information is presented orally during the Evaluation Review Panel's and Board of Commissioner's discussion.
2. The professional staff role in the deliberations is an active role. Professional staff members provide information and advice, which may include evidence and evidence-based professional opinions on a nursing program's patterns, progress, and suggested action.

3. The professional staff's role in the process may not supplant the peer review and decision process.

Policy #26 History
Developed July 2015